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Czajkowski

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(45) **Date of Patent:** **Nov. 1, 2016**

(54) **OBJECT LEVEL ENCRYPTION SYSTEM INCLUDING ENCRYPTION KEY MANAGEMENT SYSTEM**

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(21) Appl. No.: **14/551,081**

(22) Filed: **Nov. 24, 2014**

(65) **Prior Publication Data**

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Related U.S. Application Data

(60) Provisional application No. 61/908,580, filed on Nov. 25, 2013.

(51) **Int. Cl.**
H04L 9/32 (2006.01)
H04L 29/06 (2006.01)

(52) **U.S. Cl.**

CPC **H04L 63/0435** (2013.01); **H04L 63/061** (2013.01); **H04L 63/0892** (2013.01)

(58) **Field of Classification Search**

CPC H04L 9/08; H04L 63/061
USPC 713/171
See application file for complete search history.

(56) **References Cited**

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* cited by examiner

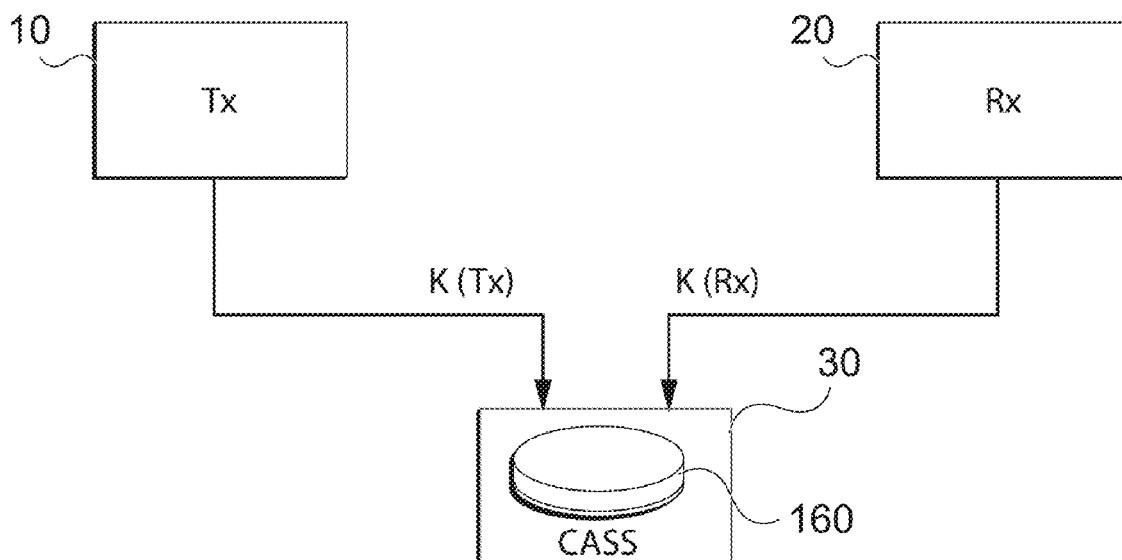
Primary Examiner — Anthony Brown

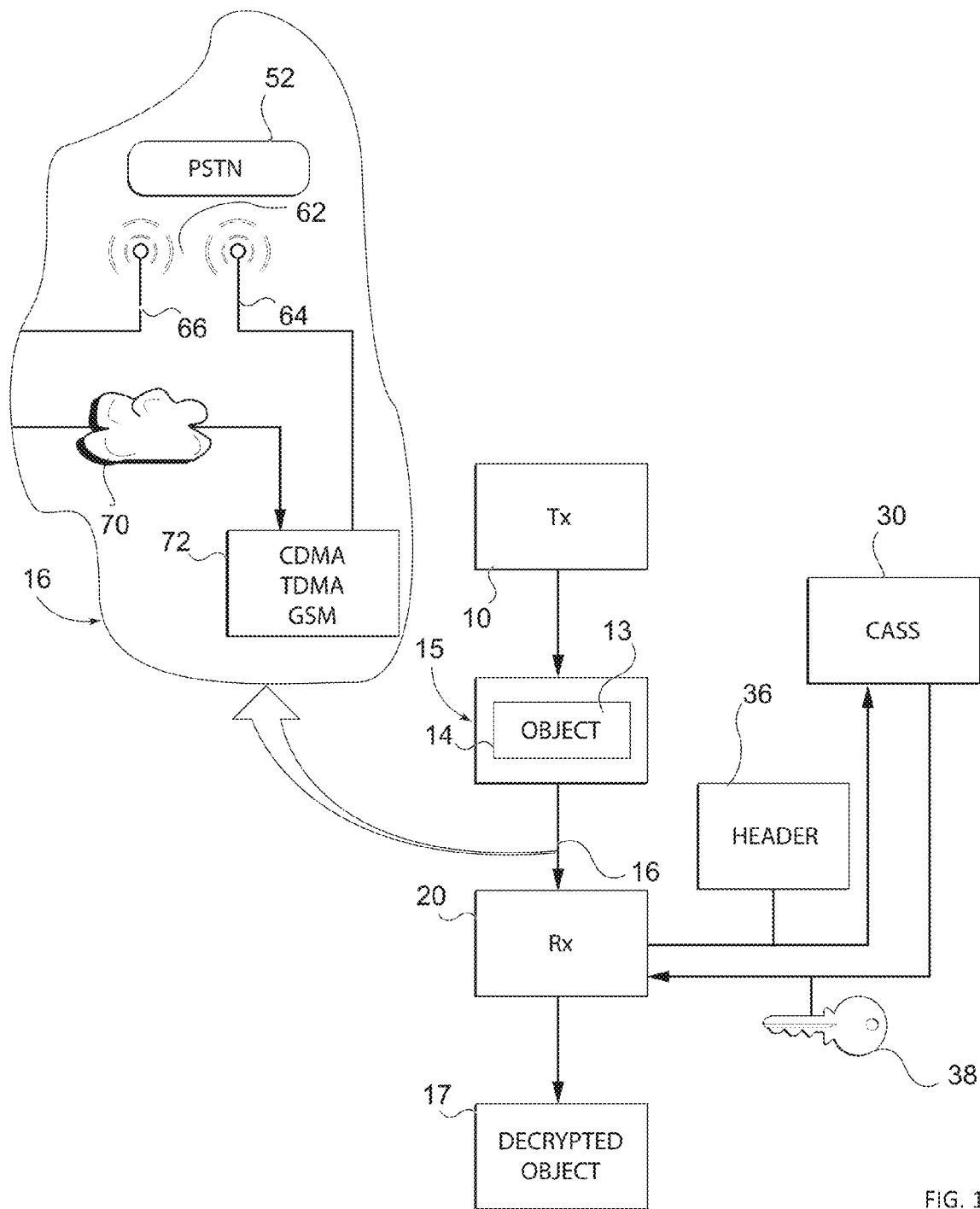
(74) *Attorney, Agent, or Firm* — Continuum Law; Robert P. Cogan

(57) **ABSTRACT**

A symmetric PGP encrypted communications path is provided in which the recipient may be identified with only publicly available information. Data to be encrypted is encrypted at the object level. Encryption keys for both the transmitter and receiver are sent to a security server. Data received from the transmitter includes intended receiver ID. The receiver includes its actual ID. The received ID and the actual ID are sent to the security server for authentication. If authentication succeeds, the security server sends a session key to the receiver, and the receiver can use its own key to decrypt data. The system reacts to authentication failure by disabling decryption in the receiver and may also take countermeasures.

12 Claims, 21 Drawing Sheets





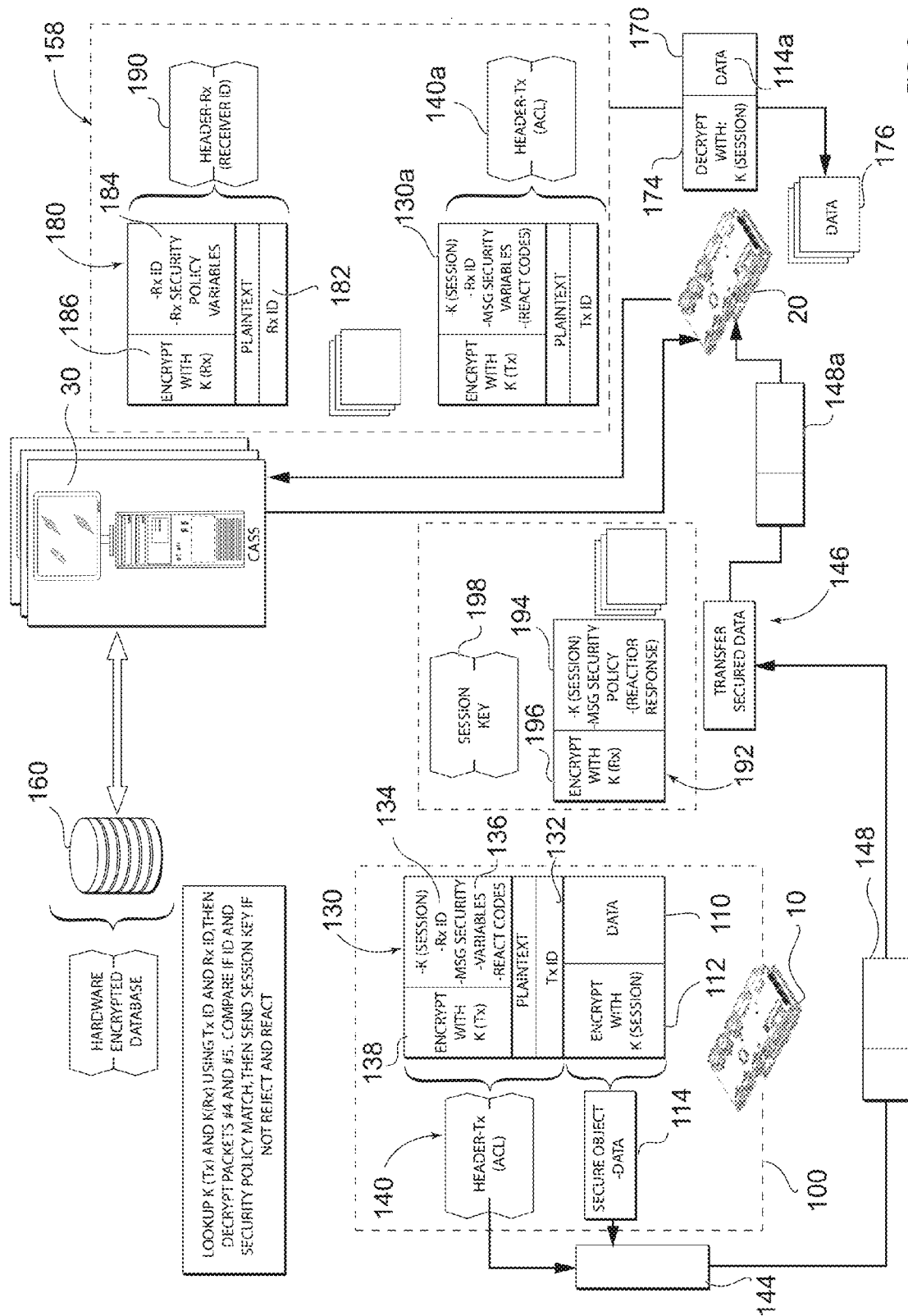


FIG. 2

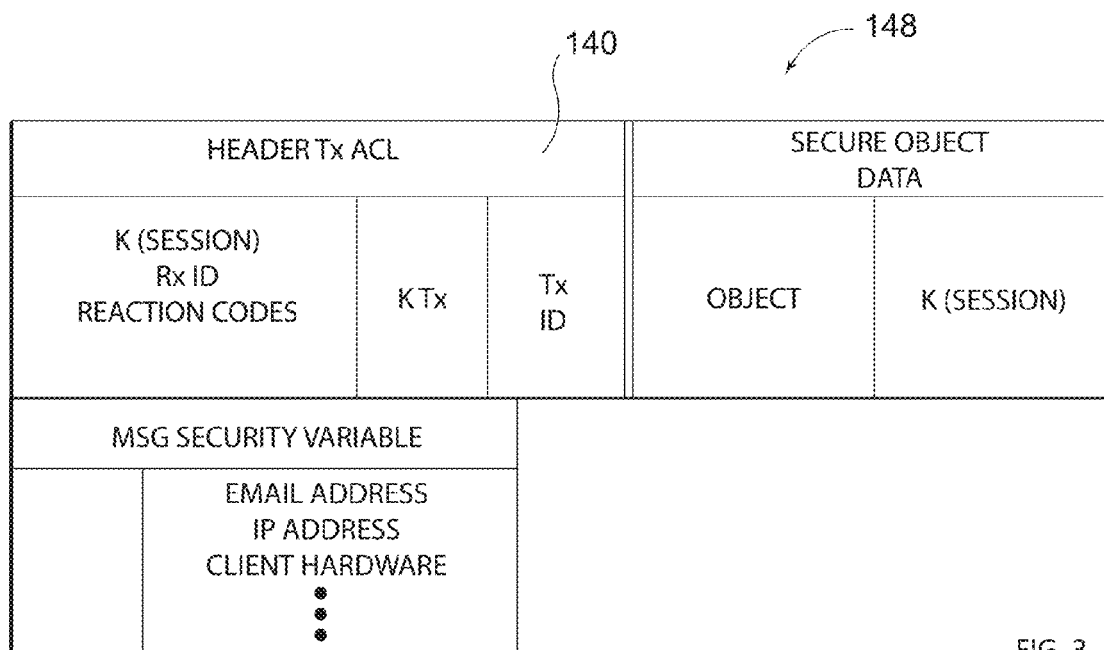


FIG. 3

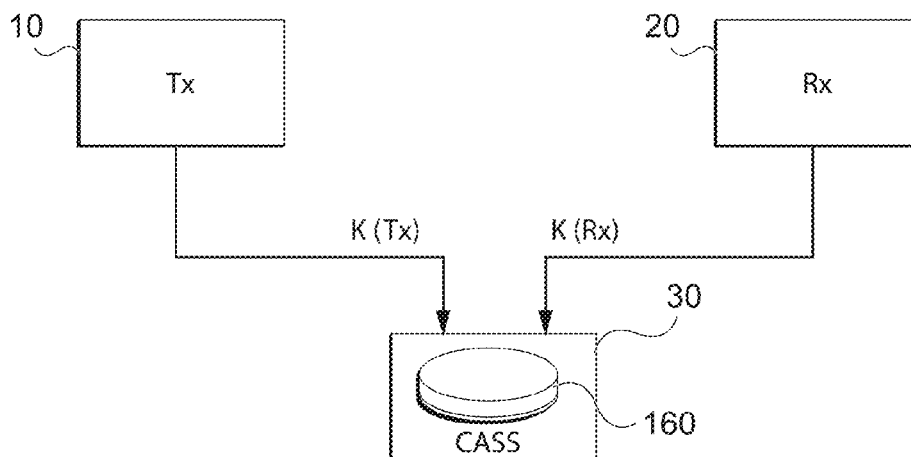
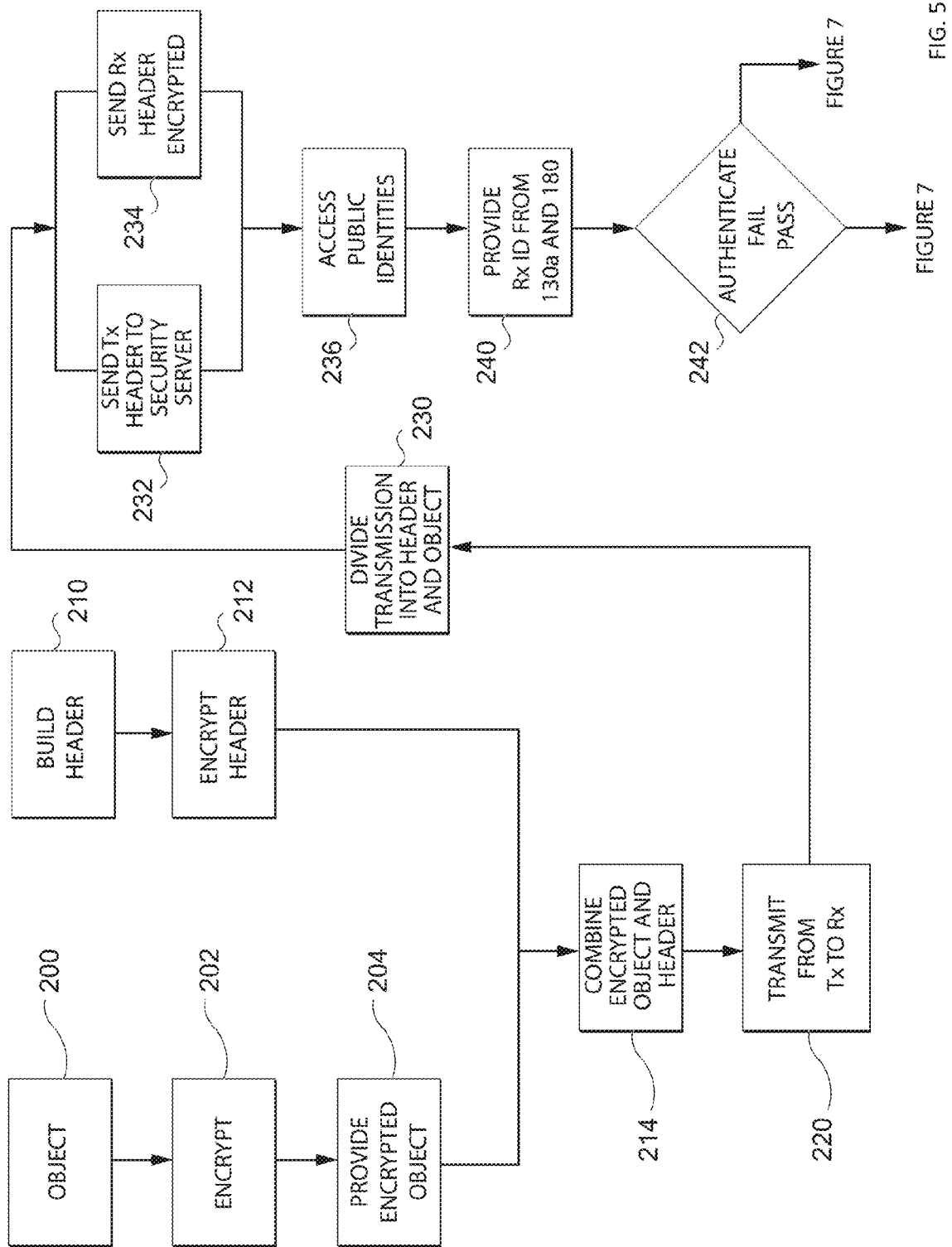


FIG. 4



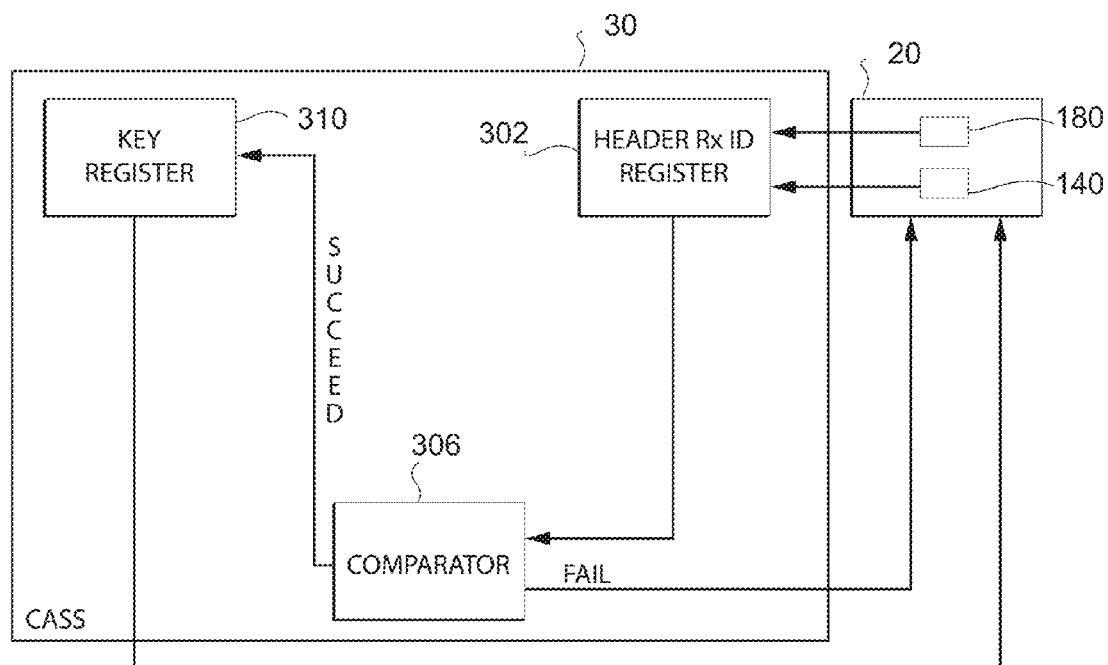


FIG. 6

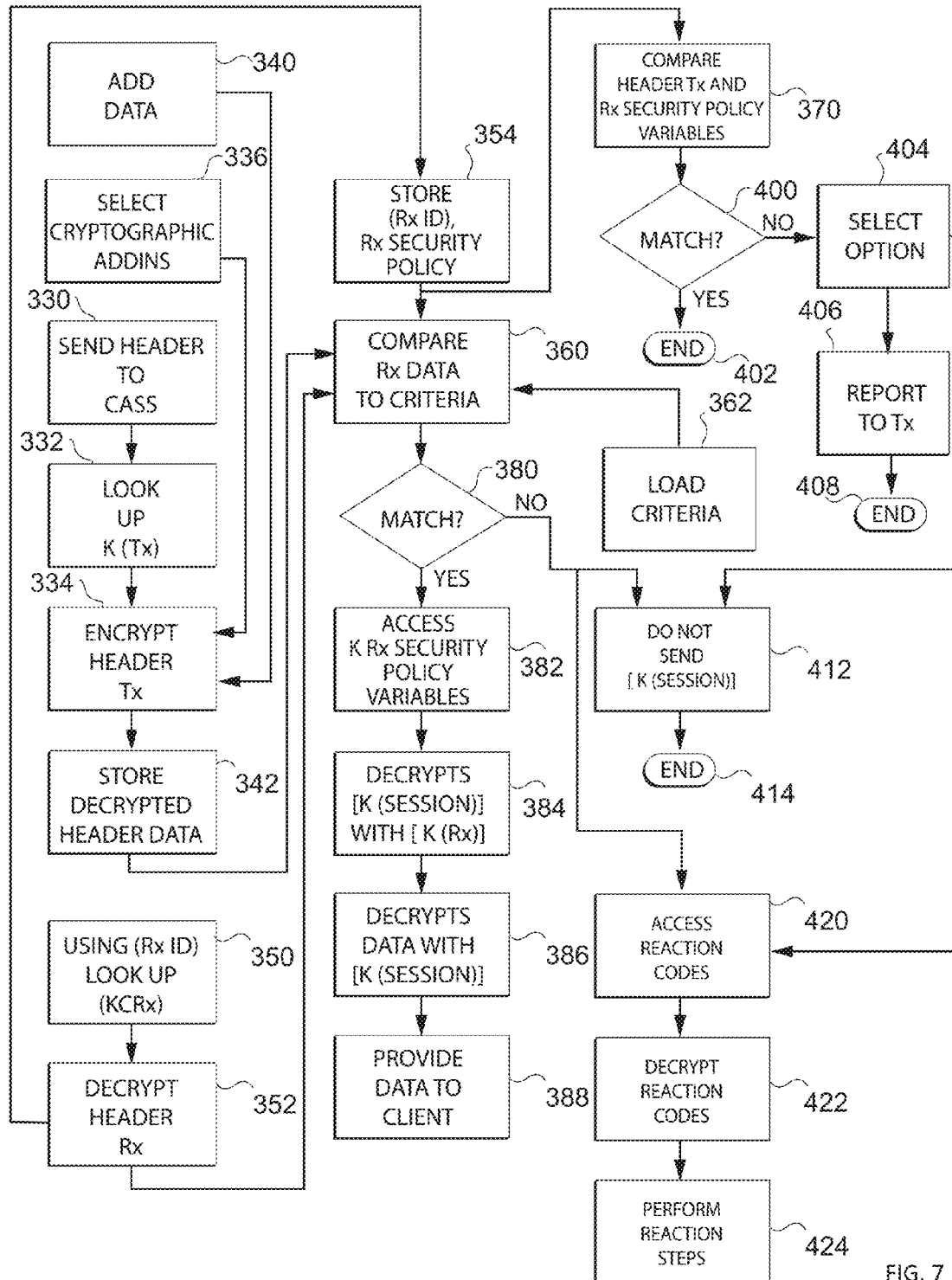


FIG. 7

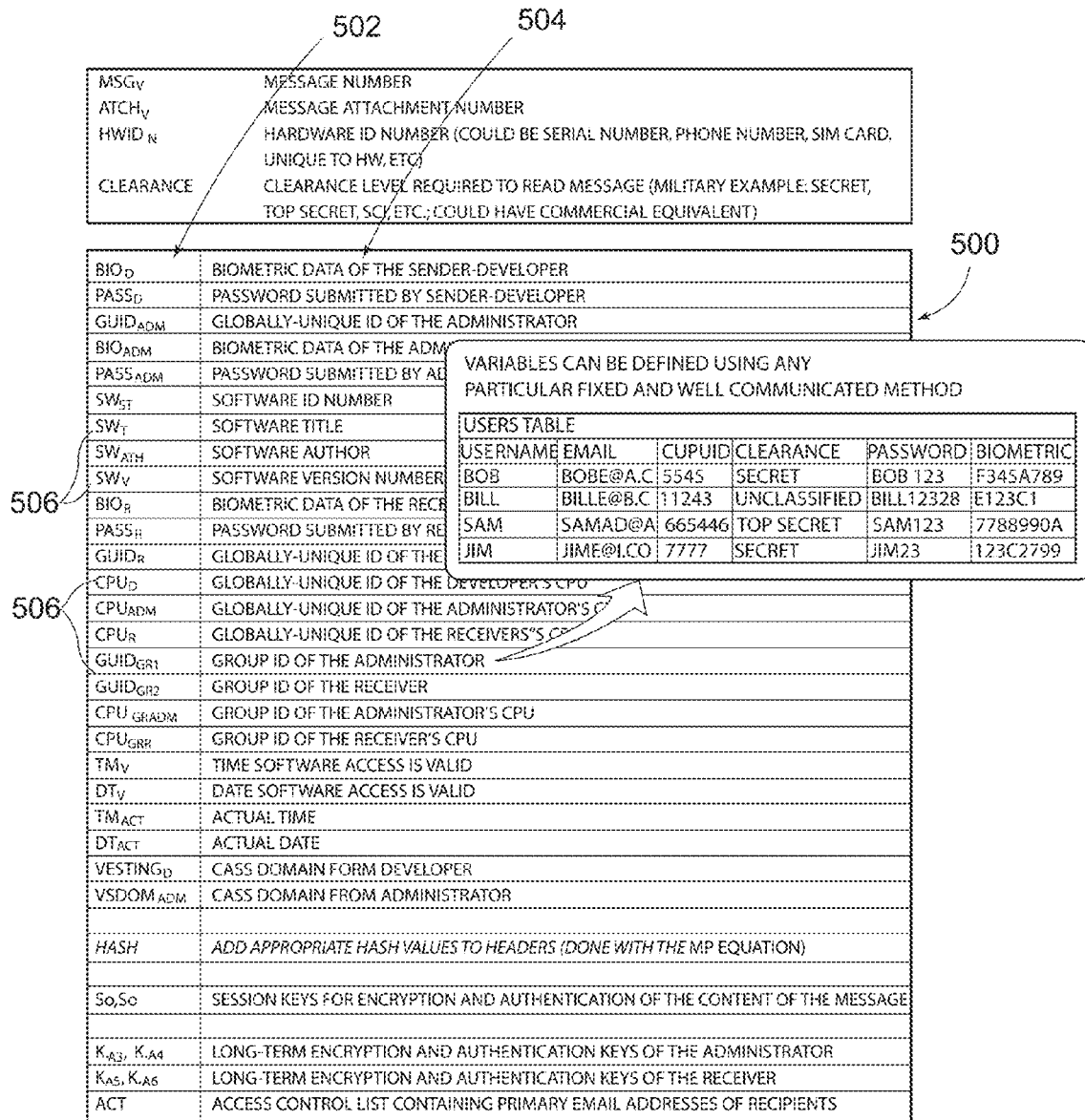


FIG. 8

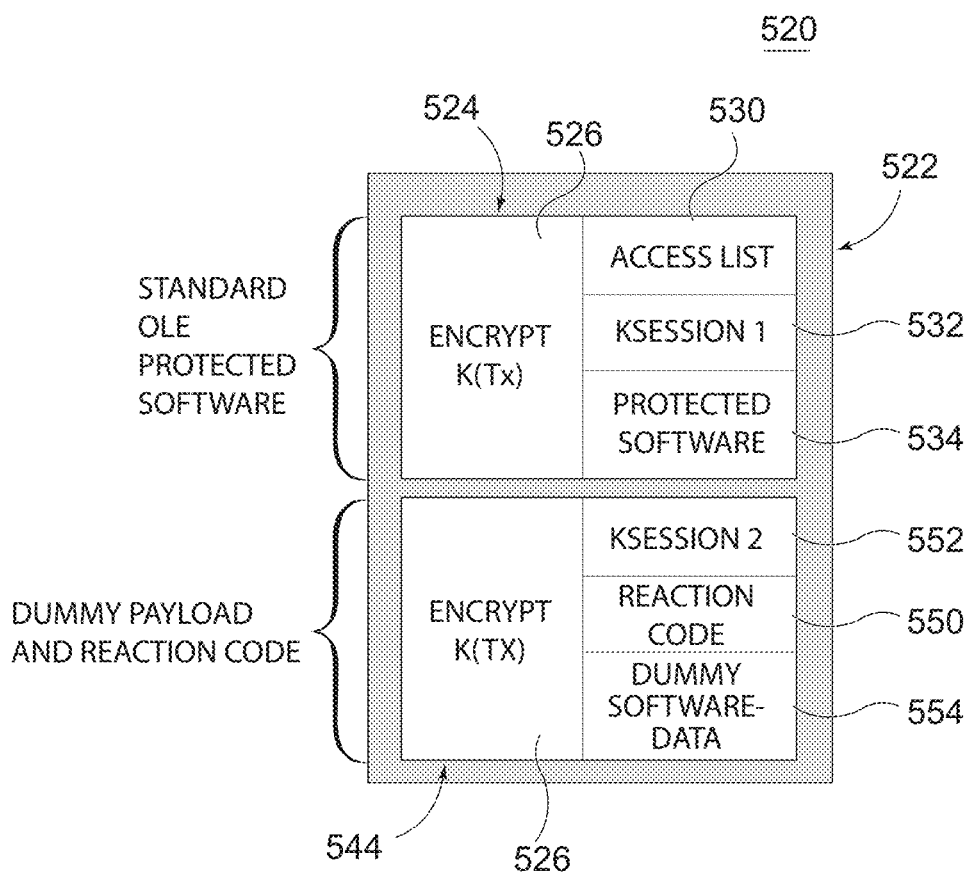


FIG. 9

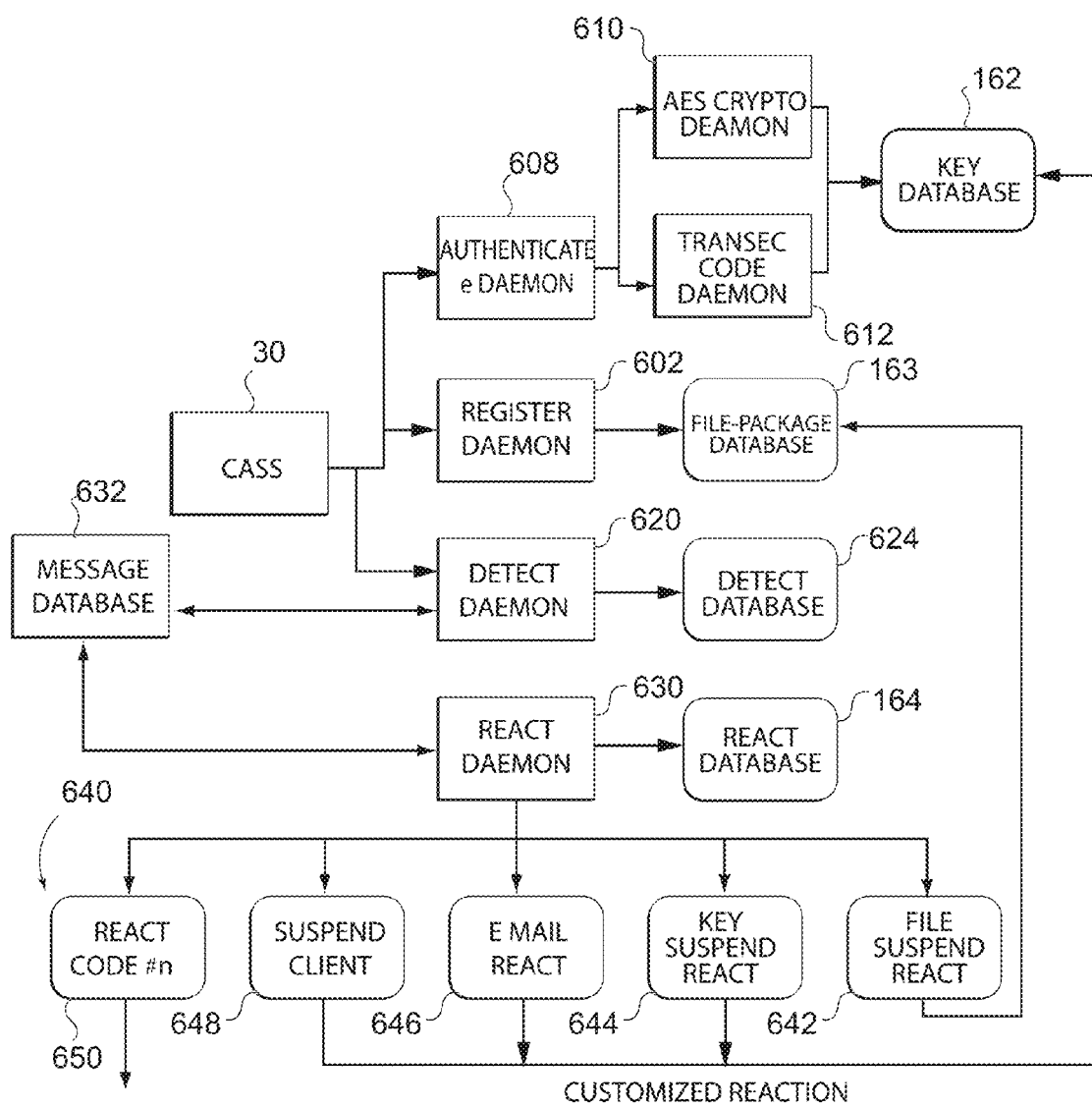


FIG. 10

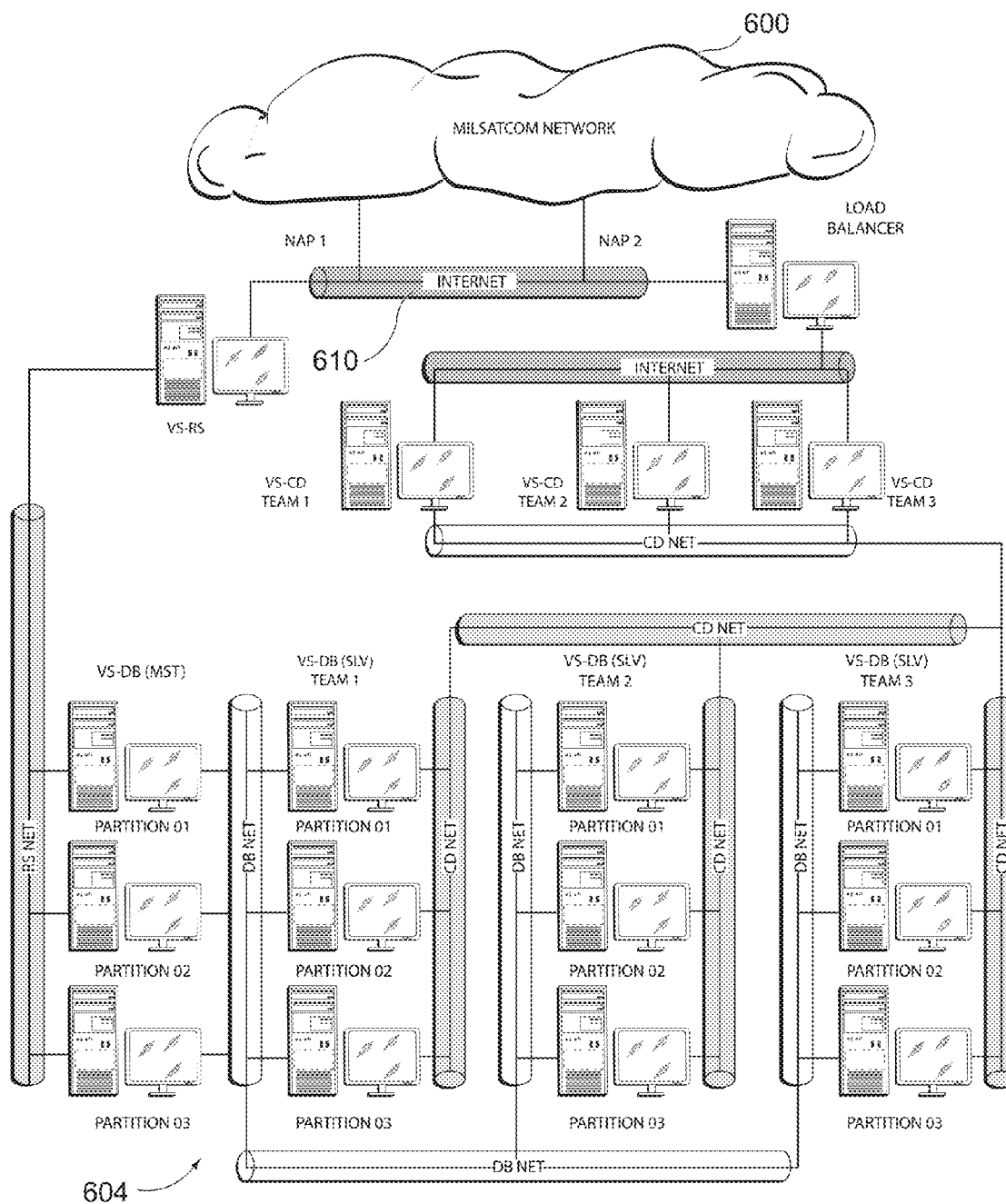


FIG. 11

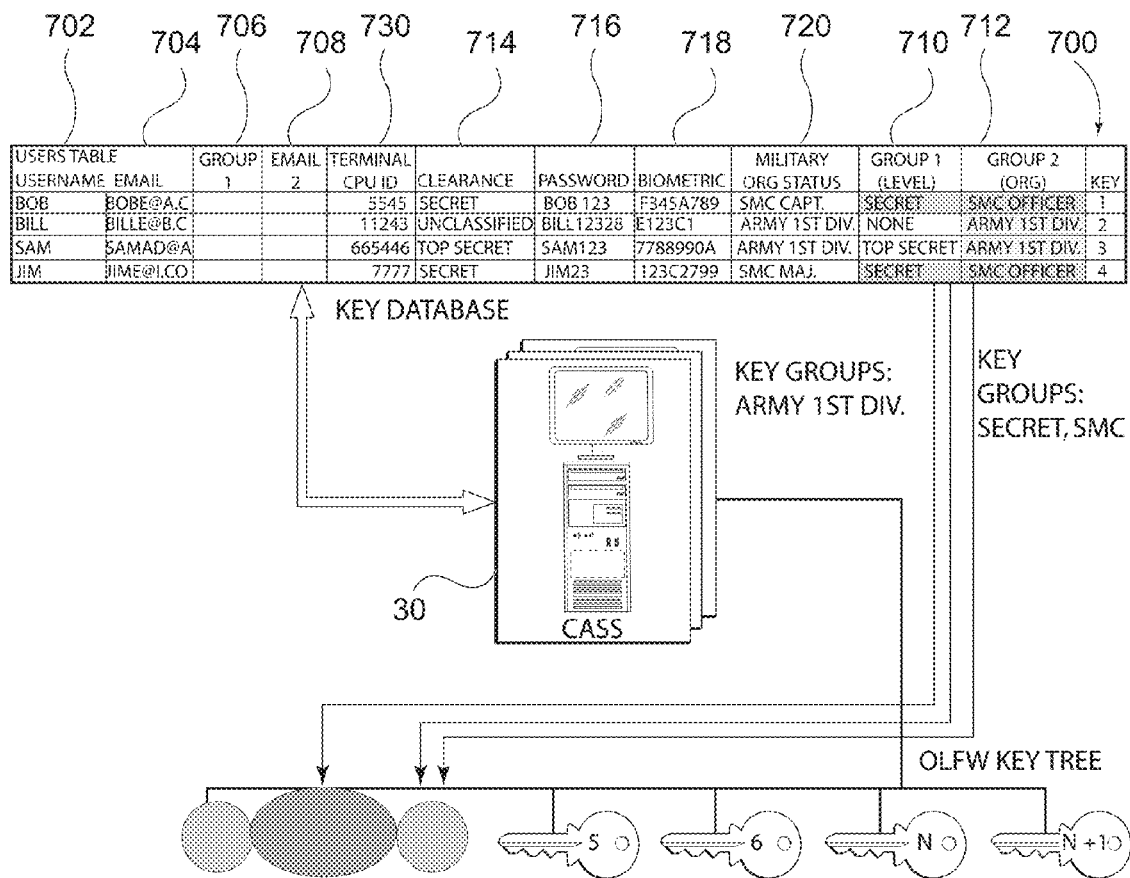


FIG. 12

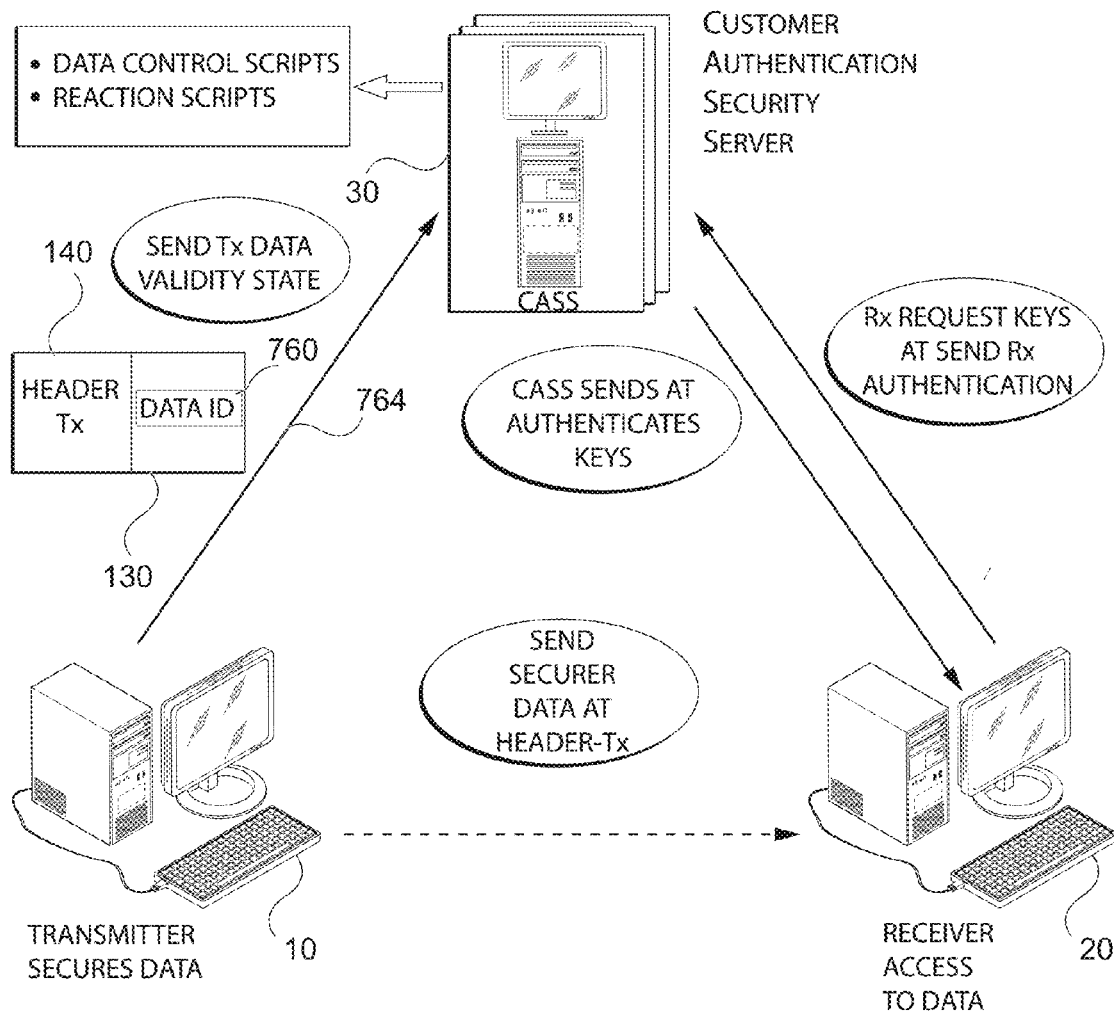


FIG. 13

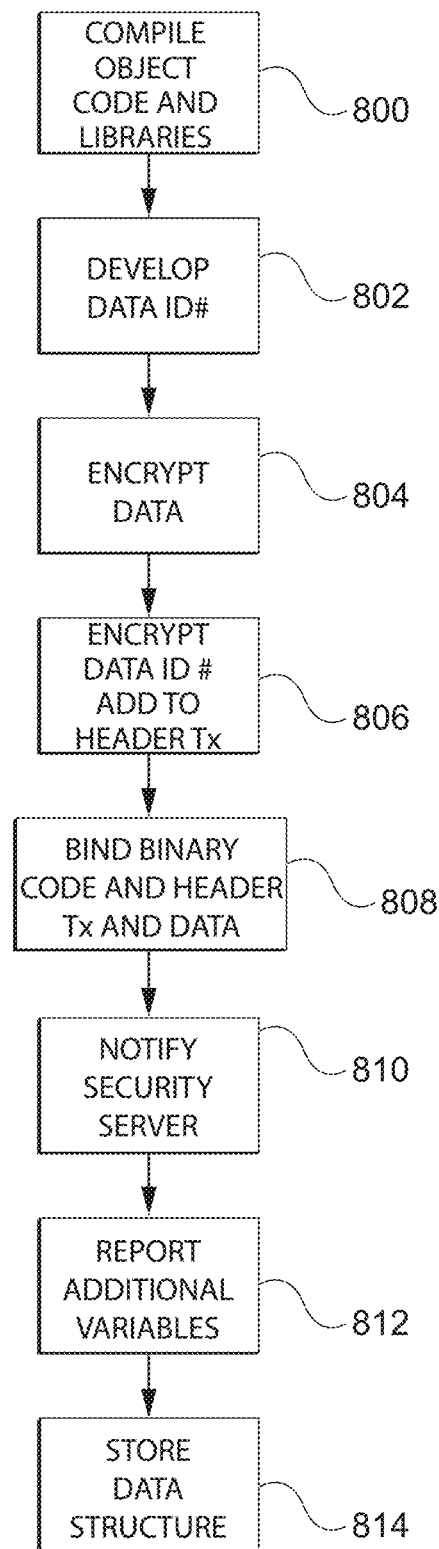


FIG. 14

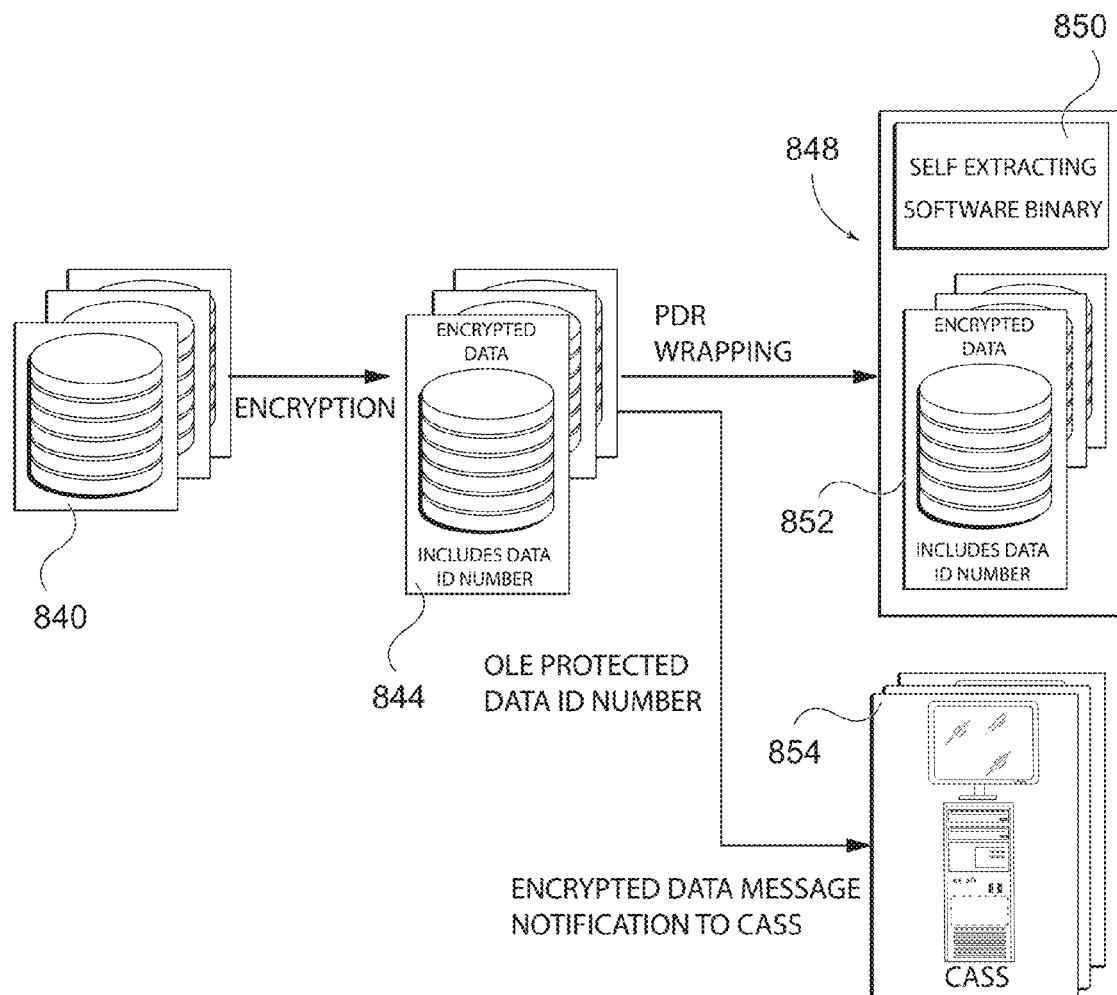


FIG. 15

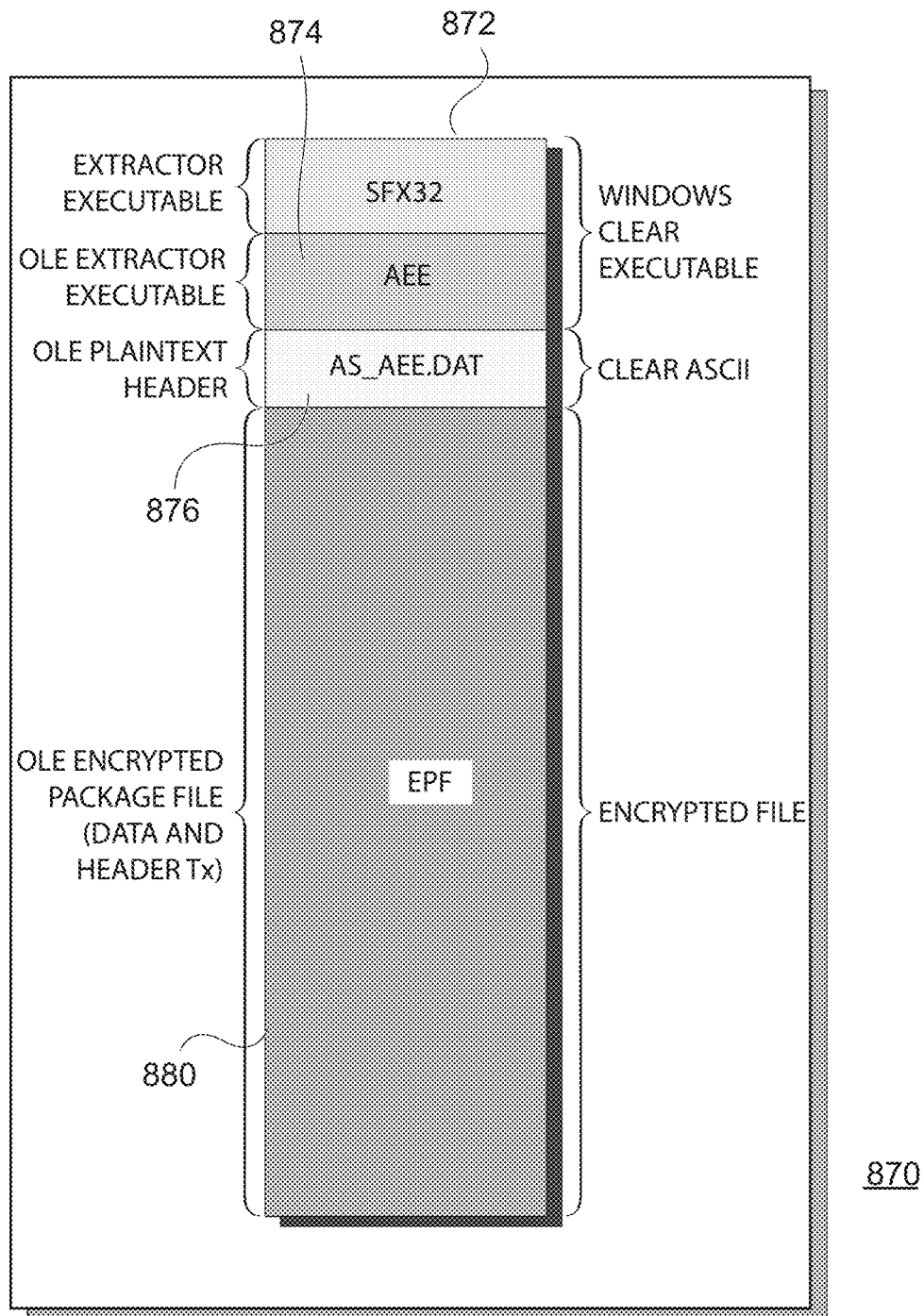


FIG. 16

902 PROTECTION VARIABLE	904 LOCATION USED	906 PLAINTEXT OR ENCRYPT	908 ENCRYPTION KEY	910 PROTECTION VALUE
TRANSMITTER E-MAIL ADDRESS	HEADER-TX	PLAINTEXT	NA	ENCRYPTION KEY INDEX
TRANSMITTER E-MAIL ADDRESS	HEADER-TX	ENCRYPT	TRANSMITTER'S	TRANSMITTER AUTHENTICATION
TRANSMITTER PHONE NUMBER	HEADER-TX	PLAINTEXT	NA	ENCRYPTION KEY INDEX
TRANSMITTER IP ADDRESS	HEADER-TX	PLAINTEXT	NA	ENCRYPTION KEY INDEX
TRANSMITTER PUBLIC ID (OTHER TYPE)	HEADER-TX	PLAINTEXT	NA	ENCRYPTION KEY INDEX
TRANSMITTER PASSWORD	HEADER-TX	ENCRYPT	TRANSMITTER'S	TRANSMITTER AUTHENTICATION
TRANSMITTER BIOMETRIC	HEADER-TX	ENCRYPT	TRANSMITTER'S	TRANSMITTER AUTHENTICATION
ADMINISTRATOR E-MAIL ADDRESS	HEADER-TX	ENCRYPT	TRANSMITTER'S	SECURE ACCESS CONTROL LIST
DATA TITLE	HEADER-Tx	ENCRYPT	TRANSMITTER'S	DATA AUTHENTICATION
DATA SERIAL NUMBER	HEADER-Tx	ENCRYPT	TRANSMITTER'S	DATA AUTHENTICATION
DATA AUTHOR	HEADER-Tx	ENCRYPT	TRANSMITTER'S	DATA AUTHENTICATION
DATA VERSION NUMBER	HEADER-Tx	ENCRYPT	TRANSMITTER'S	DATA AUTHENTICATION
RECEIVER E-MAIL ADDRESS	HEADER-Tx	ENCRYPT	TRANSMITTER'S	SECURE ACCESS CONTROL LIST
RECEIVER E-MAIL ADDRESS	HEADER-Rx	ENCRYPT	RECEIVER'S	RECEIVER AUTHENTICATION
RECEIVER E-MAIL ADDRESS	HEADER-Rx	PLAINTEXT	NA	ENCRYPTION KEY INDEX
RECEIVER PHONE NUMBER	HEADER-Tx	PLAINTEXT	NA	ENCRYPTION KEY INDEX
RECEIVER IP ADDRESS	HEADER-Tx	PLAINTEXT	NA	ENCRYPTION KEY INDEX
RECEIVER PUBLIC ID (OTHER TYPE)	HEADER-Tx	PLAINTEXT	NA	ENCRYPTION KEY INDEX
RECEIVER PASSWORD	HEADER-Rx	ENCRYPT	RECEIVER'S	RECEIVER AUTHENTICATION
RECEIVER BIOMETRIC	HEADER-Rx	ENCRYPT	RECEIVER'S	RECEIVER AUTHENTICATION
ADMINISTRATOR E-MAIL ADDRESS	HEADER-Rx	ENCRYPT	ADMIN'S	ADMINISTRATOR AUTHENTICATION
ADMINISTRATOR E-MAIL ADDRESS	HEADER-Rx	PLAINTEXT	NA	ENCRYPTION KEY INDEX
ADMINISTRATOR PASSWORD	HEADER-Rx	ENCRYPT	ADMIN'S	ADMINISTRATOR AUTHENTICATION
ADMINISTRATOR BIOMETRIC	HEADER-Rx	ENCRYPT	ADMIN'S	ADMINISTRATOR AUTHENTICATION
RECEIVER'S GROUP E-MAIL ADDRESS OR NAME	HEADER-Tx	ENCRYPT	TRANSMITTER'S	SECURE ACCESS CONTROL LIST
RECEIVER'S GROUP PROCESSOR ID OR IP ADDRESS	HEADER-Tx	ENCRYPT	TRANSMITTER'S	SECURE ACCESS CONTROL LIST
RECEIVER'S GROUP PUBLIC ID NAME	HEADER-Tx	ENCRYPT	TRANSMITTER'S	SECURE ACCESS CONTROL LIST
ADMIN'S GROUP E-MAIL ADDRESS OR NAME	HEADER-Tx	ENCRYPT	TRANSMITTER'S	SECURE ACCESS CONTROL LIST
ADMIN'S GROUP PROCESSOR ID OR IP ADDRESS	HEADER-Tx	ENCRYPT	TRANSMITTER'S	SECURE ACCESS CONTROL LIST
RECEIVER'S PROCESSOR ID OR IP ADDRESS	HEADER-Tx	ENCRYPT	TRANSMITTER'S	SECURE ACCESS CONTROL LIST
RECEIVER'S PROCESSOR ID OR IP ADDRESS	HEADER-Rx	ENCRYPT	RECEIVER'S	RECEIVER AUTHENTICATION
RECEIVER'S PROCESSOR ID OR IP ADDRESS	HEADER-Rx	ENCRYPT	PROCESSOR'S	RECEIVER PROCESSOR AUTHENTICATION
TRANSMITTER'S PROCESSOR ID OR IP ADDRESS	HEADER-Tx	ENCRYPT	TRANSMITTER'S	TRANSMITTER PROCESSOR AUTHENTICATION
ADMINISTRATION PROCESSOR ID OR IP ADDRESS	HEADER-Tx	ENCRYPT	TRANSMITTER'S	SECURE ACCESS CONTROL LIST
ADMINISTRATION PROCESS ID OR IP ADDRESS	ADMIN HEADER	ENCRYPT	ADMIN'S	ADMIN PROCESSOR AUTHENTICATION
DATE/TIME SOFTWARE ACCESS OK	HEADER-Tx	ENCRYPT	TRANSMITTER'S	SECURE ACCESS TIME AVAILABLE
DATE/TIME OF REQUEST	HEADER-Rx	ENCRYPT	RECEIVER'S	SECURE ACCESS TIME REQUESTED
DATA HASH (CHECKSUM)	HEADER-Tx	ENCRYPT	TRANSMITTER'S	DATA INTEGRITY VERIFICATION
TRANSMITTER HEADER HASH (CHECKSUM)	HEADER-Tx	ENCRYPT	TRANSMITTER'S	HEADER INTEGRITY VERIFICATION
ADMIN HEADER HASH (CHECKSUM)	HEADER-Tx	ENCRYPT	ADMIN'S	HEADER INTEGRITY VERIFICATION
DATA HASH VALUE	HEADER-Tx	ENCRYPT	TRANSMITTER'S	DATA INTEGRITY VERIFICATION
TRANSMITTER HEADER HASH VALUE	HEADER-Tx	ENCRYPT	TRANSMITTER'S	HEADER INTEGRITY VERIFICATION
RECEIVER HEADER HASH VALUE	HEADER-Rx	ENCRYPT	RECEIVER'S	HEADER INTEGRITY VERIFICATION
ADMIN HEADER HASH VALUE	ADMIN HEADER	ENCRYPT	ADMIN'S	HEADER INTEGRITY VERIFICATION

FIG. 17

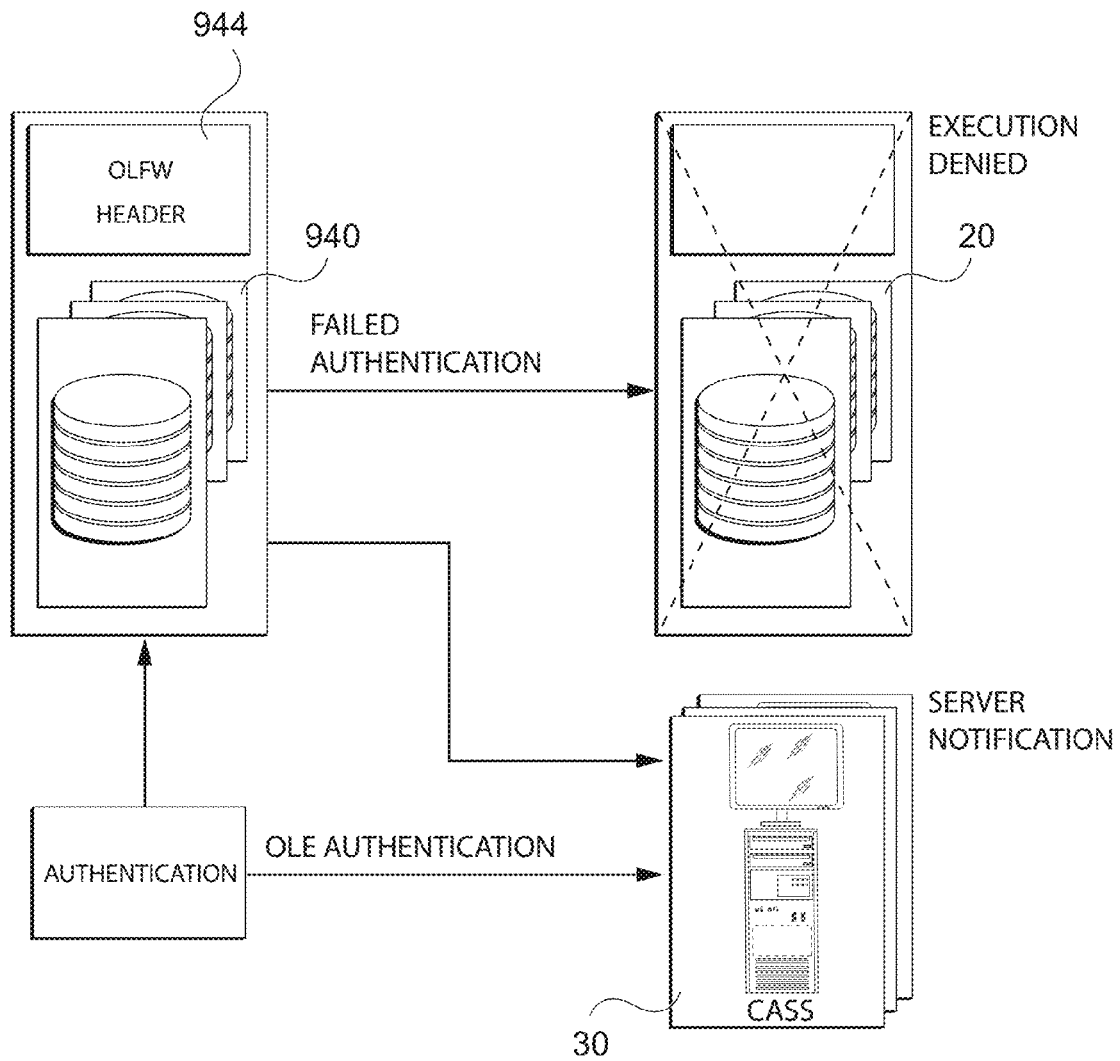


FIG. 18

1000

	1002	1030	1040	1060
	DETECTION CATEGORY	DETECTION VARIABLE	LOCATED IN	DOES NOT MATCH
1006	TRANSMITTER ERRORS	TRANSMITTER E-MAIL	SOURCE HEADER	DATA ID#
1008		TRANSMITTER E-MAIL	SOURCE HEADER	TRANSMITTER PASSWORD
		TRANSMITTER E-MAIL	SOURCE HEADER	TRANSMITTER BIOMETRIC
	ADMINISTRATOR ERRORS	ADMINISTRATOR'S E-MAIL	ADMIN HEADER	DATA ID#
		ADMINISTRATOR'S E-MAIL	ADMIN HEADER	ADMIN PASSWORD
		ADMINISTRATOR'S E-MAIL	ADMIN HEADER	ADMIN BIOMETRIC
		ADMINISTRATOR'S E-MAIL	ADMIN HEADER	ADMIN GROUP ID
		ADMINISTRATOR'S E-MAIL	ADMIN HEADER	ADMIN'S E-MAIL
1010	RECEIVER ERRORS	RECEIVER'S E-MAIL	RECEIVER HEADER	DATA ID#
		RECEIVER'S E-MAIL	RECEIVER HEADER	RECEIVER PASSWORD
		RECEIVER'S E-MAIL	RECEIVER HEADER	RECEIVER BIOMETRIC
		RECEIVER'S E-MAIL	RECEIVER HEADER	RECEIVER GROUP ID
		RECEIVER'S E-MAIL	RECEIVER HEADER	RECEIVER GROUP ID
1012	PROCESSOR	RECEIVER'S E-MAIL	RECEIVER HEADER	RECEIVER'S E-MAIL
		TRANSMITTER E-MAIL-ID	SOURCE HEADER	TRANSMITTER PROCESSOR ID
		RECEIVER'S E-MAIL-ID	SOURCE HEADER	RECEIVER PROCESSOR ID
		RECEIVER'S E-MAIL-ID	RECEIVER HEADER	RECEIVER PROCESSOR ID
		RECEIVER'S E-MAIL-ID	SOURCE HEADER	RECEIVER GROUP PROCESSOR ID
		RECEIVER'S E-MAIL-ID	RECEIVER HEADER	RECEIVER GROUP PROCESSOR ID
		ADMIN'S E-MAIL-ID	SOURCE HEADER	ADMIN PROCESSOR ID
		ADMIN'S E-MAIL-ID	ADMIN HEADER	ADMIN PROCESSOR ID
		ADMIN'S E-MAIL-ID	SOURCE HEADER	ADMIN GROUP PROCESSOR ID
		ADMIN'S E-MAIL-ID	ADMIN HEADER	ADMIN GROUP PROCESSOR ID
1014	ACCESS TIME	ADMIN'S PROCESSOR ID	SOURCE HEADER	DATA ID#
		ADMIN'S PROCESSOR ID	ADMIN HEADER	DATA ID#
1016	SOFTWARE INTEGRITY	DATE/TIME OF REQUEST	ADMIN HEADER	DATE/TIME SOFTWARE ACCESS OK
		DATE/TIME OF REQUEST	RECEIVER HEADER	DATE/TIME SOFTWARE ACCESS OK
1018	HEADER INTEGRITY	DATA HASH (CHECKSUM)	SOURCE HEADER	DATA HASH (CHECKSUM)
		DATA HASH (CHECKSUM)	ADMIN HEADER	DATA HASH (CHECKSUM)
		TRANSMITTER HEADER HASH (CHECKSUM)	SOURCE HEADER	TRANSMITTER HEADER HASH (CHECKSUM)
		ADMIN HEADER HASH (CHECKSUM)	ADMIN HEADER	ADMIN HEADER HASH (CHECKSUM)
		RECEIVER HEADER HASH VALUE	RECEIVER HEADER	RECEIVER HEADER HASH VALUE

DETECT: COMPONENT FAILURES

DETECT: SYSTEM FAILURES

DETECT: ATTACK BY MALICIOUS SOFTWARE

DETECT: SUSPICIOUS MODIFICATION OF FILES

DETECT: DISTINGUISH INTRUSION ATTACK FROM OUTSIDE VS. INSIDE

TIME STAMP AND MACHINE ID IN HEADERS SO CAN TRACK THEM

DETECT: SUSPICIOUS PATTERNS OF ACCESS ATTEMPTS

FIG. 19A

RESPONSE CATEGORY	FROM LOCATION	ERROR CONDITION
DATA APPROVAL LIST		TRANSMITTER DOES NOT HAVE ACCESS TO THIS DATA
SOURCE HEADER		FALSE TRANSMITTER OR ACCESS ATTEMPT
SOURCE HEADER		FALSE TRANSMITTER OR ACCESS ATTEMPT
SOFTWARE APPROVAL LIST		ADMINISTRATOR DOES NOT HAVE ACCESS TO THIS DATA
ADMIN HEADER		FALSE ADMINISTRATOR OR ACCESS ATTEMPT
ADMIN HEADER		FALSE ADMINISTRATOR OR ACCESS ATTEMPT
SOURCE HEADER		ADMINISTRATOR DOES NOT HAVE ACCESS TO THIS SOFTWARE
SOURCE HEADER		FALSE ADMINISTRATOR OR ACCESS ATTEMPT
SOFTWARE APPROVAL LIST		RECEIVER DOES NOT HAVE ACCESS TO THIS DATA
RECEIVER HEADER		FALSE RECEIVER OR ACCESS ATTEMPT
RECEIVER HEADER		FALSE RECEIVER OR ACCESS ATTEMPT
SOURCE HEADER		RECEIVER DOES NOT HAVE ACCESS TO THIS SOFTWARE OR FALSE RECEIVER/ACCESS ATTEMPT
ADMIN HEADER		RECEIVER DOES NOT HAVE ACCESS TO THIS SOFTWARE
SOURCE HEADER		FALSE RECEIVER OR ACCESS ATTEMPT
SOURCE HEADER		TRANSMITTER NOT USING CORRECT COMPUTER OR SOFTWARE ACCESS COMPROMISED
RECEIVER HEADER		RECEIVER NOT ON CORRECT COMPUTER OR FALSE RECEIVER
RECEIVER HEADER		RECEIVER NOT ON CORRECT COMPUTER OR FALSE RECEIVER
SOURCE HEADER		TRANSMITTER DOES NOT HAVE A CORRECT COMPUTER/GROUP ID LIST
RECEIVER HEADER		RECEIVER IS USING THE WRONG COMPUTER OR FALSE RECEIVER
SOURCE HEADER		TRANSMITTER DOES NOT HAVE CORRECT COMPUTER/GROUP ID LIST
ADMIN HEADER		ADMIN NOT ON CORRECT COMPUTER OR FALSE ADMINISTRATOR
SOURCE HEADER		TRANSMITTER DOES NOT HAVE CORRECT COMPUTER/GROUP ID LIST
ADMIN HEADER		ADMIN NOT ON CORRECT COMPUTER OR FALSE ADMINISTRATOR
SOURCE HEADER		TRANSMITTER DOES NOT HAVE CORRECT COMPUTER/ADMIN ID LIST
SOFTWARE APPROVAL LIST		ADMIN NOT ON CORRECT COMPUTER OR FALSE ADMINISTRATOR
SOURCE HEADER		ADMIN REQUESTING SOFTWARE OUTSIDE OF ACCEPTABLE TIME PERIOD
SOURCE HEADER		RECEIVER REQUESTING SOFTWARE OUTSIDE OF ACCEPTABLE TIME PERIOD
CLIENT UN-WRAPPER MODULE		DATA HAS BEEN TAMPERED
CLIENT UN-WRAPPER MODULE		DATA HAS BEEN TAMPERED
CASS Tx HASH CALCULATION		HEADER TAMPERING OR FALSE TRANSMITTER
CASS ADMIN HASH CALCULATION		HEADER TAMPERING OR FALSE ADMINISTRATOR
CASS Rx HASH CALCULATION		HEADER TAMPERING OR FALSE RECEIVER

1000

FIG. 19B

	1002	1030	1040	1060
	DETECTION CATEGORY	DETECTION VARIABLE	LOCATED IN	DOES NOT MATCH
1006	TRANSMITTER ERRORS	TRANSMITTER E-MAIL	SOURCE HEADER	DATA ID#
		TRANSMITTER E-MAIL	SOURCE HEADER	TRANSMITTER PASSWORD
1008		TRANSMITTER E-MAIL	SOURCE HEADER	TRANSMITTER BIOMETRIC
1010	ADMINISTRATOR ERRORS	ADMINISTRATOR'S E-MAIL	ADMIN HEADER	DATA ID#
		ADMINISTRATOR'S E-MAIL	ADMIN HEADER	ADMIN PASSWORD
		ADMINISTRATOR'S E-MAIL	ADMIN HEADER	ADMIN BIOMETRIC
		ADMINISTRATOR'S E-MAIL	ADMIN HEADER	ADMIN GROUP ID
		ADMINISTRATOR'S E-MAIL	ADMIN HEADER	ADMIN'S E-MAIL
1012	RECEIVER ERRORS	RECEIVER'S E-MAIL	RECEIVER HEADER	DATA ID#
		RECEIVER'S E-MAIL	RECEIVER HEADER	RECEIVER PASSWORD
		RECEIVER'S E-MAIL	RECEIVER HEADER	RECEIVER BIOMETRIC
		RECEIVER'S E-MAIL	RECEIVER HEADER	RECEIVER GROUP ID
		RECEIVER'S E-MAIL	RECEIVER HEADER	RECEIVER GROUP ID
1014	PROCESSOR	RECEIVER'S E-MAIL	RECEIVER HEADER	RECEIVER'S E-MAIL
		TRANSMITTER E-MAIL-ID	SOURCE HEADER	TRANSMITTER PROCESSOR ID
		RECEIVER'S E-MAIL-ID	SOURCE HEADER	RECEIVER PROCESSOR ID
		RECEIVER'S E-MAIL-ID	RECEIVER HEADER	RECEIVER PROCESSOR ID
		RECEIVER'S E-MAIL-ID	SOURCE HEADER	RECEIVER GROUP PROCESSOR ID
		RECEIVER'S E-MAIL-ID	RECEIVER HEADER	RECEIVER GROUP PROCESSOR ID
		ADMIN'S E-MAIL-ID	SOURCE HEADER	ADMIN PROCESSOR ID
		ADMIN'S E-MAIL-ID	ADMIN HEADER	ADMIN PROCESSOR ID
		ADMIN'S E-MAIL-ID	SOURCE HEADER	ADMIN GROUP PROCESSOR ID
		ADMIN'S E-MAIL-ID	ADMIN HEADER	ADMIN GROUP PROCESSOR ID
1016	ACCESS TIME	ADMIN'S PROCESSOR ID	SOURCE HEADER	DATA ID#
		ADMIN'S PROCESSOR ID	ADMIN HEADER	DATA ID#
1018	SOFTWARE INTEGRITY	DATE/TIME OF REQUEST	ADMIN HEADER	DATE/TIME SOFTWARE ACCESS OK
		DATE/TIME OF REQUEST	RECEIVER HEADER	DATE/TIME SOFTWARE ACCESS OK
1018	HEADER INTEGRITY	DATA HASH (CHECKSUM)	SOURCE HEADER	DATA HASH (CHECKSUM)
		DATA HASH (CHECKSUM)	ADMIN HEADER	DATA HASH (CHECKSUM)
		TRANSMITTER HEADER HASH (CHECKSUM)	SOURCE HEADER	TRANSMITTER HEADER HASH (CHECKSUM)
		ADMIN HEADER HASH (CHECKSUM)	ADMIN HEADER	ADMIN HEADER HASH (CHECKSUM)
		RECEIVER HEADER HASH VALUE	RECEIVER HEADER	RECEIVER HEADER HASH VALUE

DETECT: COMPONENT FAILURES
 DETECT: SYSTEM FAILURES
 DETECT: ATTACK BY MALICIOUS SOFTWARE
 DETECT: SUSPICIOUS MODIFICATION OF FILES
 DETECT: DISTINGUISH INTRUSION ATTACK FROM OUTSIDE VS. INSIDE
 TIME STAMP AND MACHINE ID IN HEADERS SO CAN TRACK THEM
 DETECT: SUSPICIOUS PATTERNS OF ACCESS ATTEMPTS

FIG. 20A

1110	1120	1130
REACTION "MILD"	REACTION "MID"	REACTION "SEVERE"
NOTIFY ADMIN	HALT TRANSMITTER'S ACCOUNT	FREEZE DATA ACCESS FOR ALL ACCOUNTS
NOTIFY ADMIN OR TRANSMITTER	ALLOW 3 FAILED ATTEMPTS, THEN HALT TRANSMITTER'S ACCOUNT	FREEZE TRANSMITTER ACCOUNT
NOTIFY ADMIN OR TRANSMITTER	ALLOW 3 FAILED ATTEMPTS, THEN HALT TRANSMITTER'S ACCOUNT	FREEZE TRANSMITTER ACCOUNT
NOTIFY SECOND ADMIN PERSON	FREEZE ADMIN'S ACCESS TO DATA	FREEZE DATA ACCESS FOR ALL ACCOUNTS
NOTIFY SECOND ADMIN PERSON	ALLOW 3 FAILED ATTEMPTS, THEN HALT ADMIN'S ACCOUNT	FREEZE ADMIN'S ACCOUNT
NOTIFY SECOND ADMIN PERSON	ALLOW 3 FAILED ATTEMPTS, THEN HALT ADMIN'S ACCOUNT	FREEZE ADMIN'S ACCOUNT
NOTIFY SECOND ADMIN PERSON	FREEZE ADMIN'S ACCESS TO DATA	FREEZE ADMIN'S ACCOUNT
NOTIFY SECOND ADMIN PERSON	FREEZE ADMIN'S ACCESS TO DATA	FREEZE ADMIN'S ACCOUNT
NOTIFY ADMIN	HALT RECEIVER'S ACCOUNT	FREEZE DATA ACCESS FOR ALL ACCOUNTS
NOTIFY ADMIN OR RECEIVER	ALLOW 3 FAILED ATTEMPTS, THEN HALT RECEIVER'S ACCOUNT	FREEZE RECEIVER ACCOUNT
NOTIFY ADMIN OR RECEIVER	ALLOW 3 FAILED ATTEMPTS, THEN HALT RECEIVER'S ACCOUNT	FREEZE RECEIVER ACCOUNT
NOTIFY ADMIN OR RECEIVER	HALT RECEIVER'S ACCOUNT	FREEZE DATA ACCESS FOR ALL ACCOUNTS
NOTIFY ADMIN OR RECEIVER	HALT RECEIVER'S ACCOUNT	FREEZE DATA ACCESS FOR ALL ACCOUNTS
NOTIFY ADMIN	FREEZE RECEIVER'S ACCESS TO DATA	FREEZE RECEIVER ACCOUNT
NOTIFY ADMIN OR TRANSMITTER	HALT TRANSMITTER'S ACCOUNT	FREEZE DATA ACCESS FOR ALL ACCOUNTS
NOTIFY ADMIN OR RECEIVER	HALT RECEIVER'S ACCOUNT	FREEZE DATA ACCESS FOR ALL ACCOUNTS
NOTIFY ADMIN OR RECEIVER	HALT RECEIVER'S ACCOUNT	FREEZE DATA ACCESS FOR ALL ACCOUNTS
PROVIDE ERROR MESSAGE	HALT TRANSMITTER'S ACCOUNT	FREEZE DATA ACCESS FOR ALL ACCOUNTS
NOTIFY ADMIN OR RECEIVER	HALT RECEIVER'S ACCOUNT	FREEZE DATA ACCESS FOR ALL ACCOUNTS
PROVIDE ERROR MESSAGE TO Tx	HALT TRANSMITTER'S ACCOUNT	FREEZE DATA ACCESS FOR ALL ACCOUNTS
NOTIFY SECOND ADMIN	FREEZE ADMIN'S ACCESS TO DATA	FREEZE ADMIN'S ACCOUNT
PROVIDE ERROR MESSAGE TO Tx	HALT TRANSMITTER'S ACCOUNT	FREEZE DATA ACCESS FOR ALL ACCOUNTS
NOTIFY SECOND ADMIN	FREEZE ADMIN'S ACCESS TO DATA	FREEZE ADMIN'S ACCOUNT
PROVIDE ERROR MESSAGE	HALT TRANSMITTER'S ACCOUNT	FREEZE DATA ACCESS FOR ALL ACCOUNTS
NOTIFY SECOND ADMIN	FREEZE ADMIN'S ACCESS TO DATA	FREEZE ADMIN'S ACCOUNT
PROVIDE ERROR MESSAGE	NOTIFY SECOND ADMIN	FREEZE DATA ACCESS TO ADMIN
PROVIDE ERROR MESSAGE	NOTIFY ADMIN	FREEZE DATA ACCESS TO RECEIVER
NOTIFY TRANSMITTER	NOTIFY ADMIN	FREEZE DATA ACCESS TO RECEIVER
NOTIFY TRANSMITTER	NOTIFY ADMIN	FREEZE DATA ACCESS TO RECEIVER
NOTIFY TRANSMITTER	NOTIFY ADMIN	FREEZE DATA ACCESS TO TRANSMITTER
NOTIFY ADMIN	NOTIFY SECOND ADMIN	FREEZE DATA ACCESS FOR ALL ACCOUNTS
NOTIFY RECEIVER	NOTIFY ADMIN	FREEZE DATA ACCESS TO RECEIVER

1100

FIG. 20B

1

OBJECT LEVEL ENCRYPTION SYSTEM INCLUDING ENCRYPTION KEY MANAGEMENT SYSTEM

CROSS REFERENCE TO RELATED APPLICATIONS

This patent application claims priority from Provisional Patent Application Ser. No. 61/908,580 entitled Encryption and Security Policy System, filed Nov. 25, 2013. The contents of this provisional patent application are fully incorporated herein by reference.

FIELD OF THE INVENTION

The present subject matter relates generally to security of data in motion and data at rest, and more particularly to a system which may perform encryption/decryption key registration, key distribution, authentication, and execution of a selectable response to success or failure of authentication.

BACKGROUND

Very widely used forms of encryption use a key to encrypt data prior to transmission. Another key is used by the recipient to decrypt the data. Encryption may be symmetrical in which the sender and receiver exchange a key. However, it is difficult to provide a safe, robust system due to the inherent difficulty of creating, transferring, managing, storing, authenticating, revoking encryption keys, and revoking access to encrypted data once the encrypted data has been distributed. The complexity is compounded as the number of users and access points increases.

Encryption keys must be distributed. In various systems, methods of registering encryption keys and client identity are provided. A means for distributing encryption keys to other clients is established. A common method is Pretty Good Privacy (PGP). In this method, clients log onto their public server and register their encryption keys. However, such a system is subject to registration of the key for a particular client by a non-authorized third party. This is referred to as a spoof.

Authentication of keys is essential. In order to prevent spoofs, a Certificate Authority (CA) creates a digital certificate which is used to establish the authenticity of an individual client or system. The CA is a trusted third party. The CA creates a digital certificate and digitally signs it using the CA's private key. The CA uses an industry standard, e.g., an X.509 certificate. This certificate comprises a data structure in a public key system to uniquely associate a particular entity with a particular public key. Such a system provides a reasonable level of protection. However, the certificate system is very difficult to manage and to administer.

Since the system is focused on uniquely associating one entity with one key, simple and easy methods for "grouping" of encryption keys are not available in order to allow more than two users to share encrypted data. Another desired capability is the ability to provide a different level of access to different ones of multiple users, i.e., multi-level security.

Existing systems do not provide for an action to take in response to unauthorized attempts at access to encrypted data. The systems are also limited in the proactive steps they can take in response to further unauthorized access attempts.

Various disadvantages in communications have been addressed in part. However, a solution has not been provided to address provision of a comprehensive system in encryption networks utilizing keys.

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For example, United States Patent Application Publication No. 2014/0169567 discloses granting access to a plurality of devices to a local area network with a single cryptographic key. However, in use of the key, the key is converted from digital form to graphic form when being transferred to an operating device. This does not provide for condition-responsive changes to access the network.

United States Patent Application Publication No. 2014/0003608 discloses a system in which an administrator may generate key managers. Key request users may be linked to particular cryptographic keys. The cryptographic keys may be stored on key exchange servers separate from the key management server. Responsive to a request for a cryptographic key, the key exchange servers may authenticate the key request user associated with the request. However, means are not provided for changing a key in response to predefined circumstances.

United States Patent Application Publication No. 2013/0272524 discloses a system in which quantum keys are distributed to a plurality of parties for secure multi-party communications. The quantum key does not work seamlessly with encryption methods.

United States Patent Application Publication No. 2012/0300939 discloses authentic occasion by recognition of node identity. However, a system providing for interaction of other parameters with the node identity is not provided.

United States Patent Application Publication No. 2014/0315514 discloses a wireless device including a subscriber identity module. The subscriber identity module stores rules defining an acceptable set of behaviors for a wireless module. Action is taken to avoid cooperation with a wireless module that manifests aggressive behavior based on the rules. The wireless modem is blocked from generating traffic in the offending wireless network. However, no specific affirmative action is taken.

SUMMARY

Briefly stated, in accordance with the present subject matter, an apparatus, method, and a non-transitory programmed medium are provided in which a customer authentication security server (CASS) stores keys registered by a transmitting user and by a receiving user. Data to be sent is encrypted at the object level. A protocol and key management method allow a sender to identify a recipient and access an appropriate key using only publicly available information. A source generates a random symmetric session key and encrypts data at the object level with the key. The source selects a party, parties, or a particular group of parties that are authorized to have access to the encrypted data by specifying receiver IDs. Each receiver ID is a Public Identity Variable. Only public identity of a receiving party or group must be known. Receiver IDs can be created to define a group of recipients. In this manner, separate encrypted messages need not be sent to each group member. In order to register each key to correspond to its unique owner or group, the customer authentication security server receives and stores each party's key. Two or more parties are enabled to exchange and manipulate data without knowing the other party's encryption key.

The source selects a set of "Security Policy" variables which will be controlled. A set of data is added with a secured session key. A selected set of data is used to create a cryptographically secure "Header-Tx" with a secured session key and an Access Control List with an embedded security policy.

Data is sent to a receiver via the customer authentication security server. The server uses variables for authentication such as the network location of the recipient, GPS location, time of day, receiver passwords, receiver biometrics, messaging data document number, and other available identifiers. Attributes of identification and management of keys can be changed during use.

The customer authentication security server senses whether authentication succeeds or fails. The customer authentication security server can take positive actions beyond simply refusing access to the unauthenticated recipient. In the current system, each payload may include good data and dummy data. The system can select dummy data to be transmitted in response to unsuccessful authentication. In another form, the system can transmit and load spyware in order to locate the unauthenticated party.

BRIEF DESCRIPTION OF THE DRAWINGS

The present subject matter may be further understood by reference to the following description taken in connection with the following drawings:

FIG. 1 is a top-level block diagram of a system incorporating the present subject matter;

FIG. 2 is a block diagram of the system of FIG. 1 illustrating object level encryption details;

FIG. 3 is a diagram of the structure of a protected transmission;

FIG. 4 is a diagram illustrating loading of a transmitter's key and a receiving party's key to a relational database in a computer authentication security server;

FIG. 5 is a flowchart illustrating operation of a first embodiment;

FIG. 6 is a functional block diagram of the security server of FIGS. 1 and 2;

FIG. 7 is a flowchart illustrating the software and method for authentication in the security server;

FIG. 8 is a chart illustrating exemplary parameters that may be included in authentication variables;

FIG. 9 is an illustration of a software structure suitable for use to respond to a failed authentication;

FIG. 10 is a block diagram of the architecture of the security server;

FIG. 11 is a block diagram of an embodiment of the security server particularly suited for high-volume applications;

FIG. 12 is a chart illustrating assignment of a client to a group, creation of client ID group associations, and grouping of keys in object level encryption;

FIG. 13 is a block diagram illustrating an option for controlling data after the data has been sent;

FIG. 14 is a flow chart illustrating an executable software program in which the Protect, Detect, and React (PDR) system is implemented.

FIG. 15 is a block diagram of a client wrapper module protecting and delivering secure data;

FIG. 16 is a chart illustrating an exemplary client wrapper module;

FIG. 17 is a chart illustrating exemplary object level encryption protection variables;

FIG. 18 is a diagram illustrating detection of an authentication failure;

FIGS. 19A-19B is a chart illustrating exemplary object level encryption detection variables; and

FIGS. 20A-20B is a chart illustrating exemplary object level reaction detection variables.

DETAILED DESCRIPTION

In accordance with the present subject matter, an apparatus, method, and non-transitory programmed medium are provided for encrypting and decrypting an object sent between two parties. The object is electronic data. Examples of objects include files, video streams, images, messages, e-mails, virtual private network (VPN) security keys, data streams, and VPN sessions. The objects may be sent and received by computers operating independently, computers operated by a user, smart phones, radios, or servers. The system utilizes object level encryption (OLE).

Encryption keys are transferred in order that an intended party can decrypt an object that was encrypted by a sending party. Each party has a unique secret encryption/decryption key. A key management method and system are provided in which the sender's and the recipient's key are stored in a security server. The sender may transmit data encrypted with the sender's key and identify the recipient only with publicly available information. The recipient's public identity can comprise one or more unique identifiers. Examples of unique identifiers are processors/hardware public serial number and name, Internet Protocol (IP) address, e-mail address, and telephone number. Public identities may also be used for groups. Two or more parties can transfer, store, or reject encrypted information without knowing the other party's encryption key.

FIG. 1 is a top-level block diagram of a system incorporating the present subject matter. A transmitter 10 sends an object package 15 to a receiver 20 over a link 16. A party may comprise a user-operated computer, an attended or unattended computer, radio, server, smartphone, or other communications device. The object package 15 includes an object 13 as encrypted. The object 13 as encrypted is referred to as the encrypted object 14. The object 13 is virtually any type of electronic data, for example, files, video streams, images, messages, e-mails, virtual private network (VPN) keys, data streams, and many other forms of intelligence. After the receiver 20, has received the object package 15, authentication is performed. Authentication is done in a customer authentication security server (CASS) referred to herein as security server 30. The term CASS in the present invention is also used as an adjective to indicate things that interact with security server 30. The receiver 20 sends a header 36 comprising identity information received from the transmitter 10 and identification of the receiver 20. If authentication is successful, the security server 30 will provide a session key 38 to the receiver 20 for decrypting the encrypted object 14 to provide a decrypted object 17.

The link 16 could comprise a local area network (LAN), a wide area network (WAN), or the Internet. The link 16 may take many forms including a publicly switched telephone network 52. The link 16 could comprise a Wi-Fi system 62 having a transmitting antenna 64 and a receiving antenna 66. Alternatively, the link could comprise the Internet 70. This system can be used with time division multiplex access (TDMA), code division multiplex access (CDMA), or Global System for Mobile communications (GSM).

FIG. 2 is a block diagram of the system of FIG. 1 illustrating object level encryption details. The transmitter 10 comprises a processor 100. An object 13 at a data location 110 is encrypted with a key from a key generator 112 to provide secure object data 114. The secure object data 114 comprises the data 14. This process is referred to as object

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level encryption. A transmitter ID, TxID, is stored in plaintext in a transmitter data structure **130** at a location **132**. In location **134**, a data package **136** is stored comprising a session key K(session) **138**, receiving party identification RxID, message security variables, and reaction response codes. Message security variables and reaction codes are discussed in detail below. This data package is encrypted with a transmitter key, KTx, to provide an encrypted transmission header, Header-Tx, **140**. The transmission header **140** also comprises an access control list (ACL). The transmission header **140** and the secure object data **114** are combined by transmission data interface circuit **144** to provide a protected transmission packet **148**. A data transfer unit **146** provides an output from the transmitter **10** for transfer to the receiver **20**.

The receiver **20** comprises a processor **160**. The processor **160** receives a protected transmission packet **148a** from the data transfer unit **146**. The protected transmission packet **148a** is separated into a Header Tx **140a** and the secure object data **114a**. The Header Tx **140a** is stored for use in authentication. Authentication is performed as described with respect to FIG. 7 below. If authentication is successful, the key K(session) will be provided from location **174**. Decrypted data is provided to a data output module **176**. The suffix "a" denotes a data item as received by the receiver **20** corresponding to the data item as sent from the transmitter **10**.

The processor **160** creates its own header, Header Rx **190**. The Header Rx **190** is generated in a receiver header generation module **180**. A location **182** includes the identity, RxID, of the receiver **20** in plaintext. The RxID and receiver security policy variables at a location **184** (defined below) are encrypted with a receiver key K(Rx) at a location **186**. After receiving the encrypted data package **148a** from the transmitter **10**, the receiver **20** requests authentication from the security server **30**.

To this end, the receiver **20** transmits Header Tx **140a** and Header Rx **190** to the security server **30**. As illustrated and described in further detail below, the security server **30** comprises a hardware encrypted database **160**. The hardware encrypted database **160** looks up KTx and KRx, which have been provided to the database **160** as described with respect to FIG. 4. The Header Tx **140a** and the Header Rx **190** are compared. If authentication is successful, as described below, the security server **30** sends a data structure **192** including a data block **194** and a data block **196**. The data block **194** includes the session key K(session). Data block **194** comprises security policy and reaction responses. The data block **196** includes KRx. Data in block **194** is encrypted with KRx in block **196** to provide a session heading **198**.

FIG. 3 is a diagram of the structure of a protected transmission packet **148**. The protected transmission packet **148** is provided from the module **144** (FIG. 2). The components of the protected transmission packet **148** are ordered in accordance with a selected communications protocol. Any order of components may be utilized so long as a compatible protocol is utilized.

FIG. 4 is a diagram illustrating loading of the transmitter **10**'s key and the receiver **20**'s key to the relational database **160** in the security server **30**. This operation is performed prior to transmission of data. Keys may be changed at users' options. First time individual secret symmetric key registration of each party can be made in a number of different ways. Keys can be created using a random number generator to create a random number which will comprise the key stored

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to software memory. Additional encryption details selected from a set of selectable encryption details such as in FIG. 8 below can also be registered.

FIG. 5 is a flowchart illustrating operation of a first embodiment utilizing standard symmetric encryption to transfer a basic session key between two parties. At block **200** an object **13** is loaded in a data location **110** (FIG. 2). The object **13** could comprise a streaming signal, text, image, or any of a number of forms of intelligence. At block **202**, the object **13** is encrypted. At block **204**, the secure data object **114** is provided.

At block **210**, a data package is built. The initiation of block **200** and block **210** are both initiated in a time window such that the Header Tx **140** and the secure object data **114** can be combined and transmitted together. The data package comprises K(session), RxID, message security variables, and reaction codes. This data package is encrypted with the transmission key KTx. Additionally, the data package comprises the transmitter **10**'s ID in plaintext. At block **214**, the Header Tx **140** and the secure object data **114** are combined to form a transmission package **148** which is sent to the receiver **20**. At block **220** the transmission package **148** is sent to the receiver **20**.

Operation on transmission package **148a** as received by the receiver **20** begins at block **230**. The transmission package **148a** is divided. The header is stripped from the transmission package **148a** to be used for authentication. The encrypted object from the secure object **114** is saved. Decryption is performed if authentication succeeds as further described below. Header Tx is sent to the security server **30** at block **232** Header Rx is sent to the security server **30** at block **234**. At block **236**, the public identities of the transmitter **10** and receiver **20** are identified and used to access the encryption keys that were loaded into the relational database **160**. The RxID from the Header Rx **190** and the RxID included in the Header-Tx **140a** are provided for comparison at block **240**. Comparison may be made at block **242** between a selected plurality of identifying parameters discussed with respect to, for example, FIG. 8 below.

If authentication succeeds, i.e., if there is a match, operation proceeds to block **382** in FIG. 7. In this manner, the receiver **20** receives a data package encrypted with its own key. The receiver **20** does not need to receive a key directly from the transmitter **10**. The encrypted object is decrypted as described with respect to FIG. 7.

If authentication fails, operation proceeds to blocks **402** and **420** in FIG. 7. The entire authentication process including reaction routine is described in detail with respect to FIG. 7 below.

FIG. 6 is a functional block diagram of the security server **30** of FIGS. 1 and 2. Hardware may be implemented in integrated circuits. Particular components need not be discrete as illustrated in FIG. 6. For authentication, the security server **30** uses an RxID register **302**, a comparator **306** and a key register **310**. Header Tx **140a** includes the RxID as transmitted from the transmitter **10** in order to specify the desired receiver **20**. The RxID is transmitted from the Header Tx **140a** to the RxID register **302**. The Header Rx **190** includes the actual RxID of the receiver **20**. Each of the RxIDs is transmitted to the comparator **306**. If the two RxIDs match, authentication has succeeded. A signal is provided to the key register **310**. The key register **310** provides the Key(session) to the receiver **20**. The Key(session) is used to decrypt the secure object data **114a** and provide data to the data output **176** (FIG. 2). If the two

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RxIDs do not agree, the comparator **306** sends a failure signal to the receiver **20**, and a reaction routine further described below is initiated.

FIG. 7 is a flowchart illustrating the software and method for authentication in the security server. Authentication can be provided for a transacted piece of data. Alternatively, authentication can be provided for creation of a communications session. A session can be defined by the system for any selected duration of time. A system user will select a set of authentication variables. The selected variables can define both authentication requirements and a security policy. This capability is enabled by the object level encryption system. The security policy is passed through a combination of the added authentication variables and/or specific Message Security Policy Variables. In the OLE System, the Message Security Policy Variables are encrypted with the transmitter **10**'s key K(Tx). The key provides the security server **30** (FIG. 6) with the proper variables for secure authentication of the receiver **20** and the receiver **20**'s security conditions. This Message Security Policy is encrypted and added as a header to the encrypted data. Security Policy variables can be selected through automated collection of data or can be entered by the clients.

At block **330**, the headers containing the RxIDs are sent to the RxID register **302** as described with respect to FIG. 6. The system looks up KTx at block **332**. The Header Tx is encrypted at block **334**. Cryptographic add-ins may be added from block **336**. Additional data, e.g., signatures, may be added from block **340**. The decrypted Header data is stored at block **342**.

Similarly, the RxID is used to access KRx at block **350**. The Header Rx is decrypted at block **352** and stored at block **354**. The Header Rx includes a security policy in the form of selected parameters. The decrypted Header Tx data is provided to the comparator **306** (FIG. 6) for comparison at block **360**. Similarly, the decrypted Header Rx is coupled for comparison at block **360**. Comparator criteria are loaded as indicated at block **362**. Additionally, Header Tx and Rx security policy variables are compared at block **370**. The RxIDs are evaluated at block **380**. If the RxIDs match, operation proceeds to block **382** and KRx is accessed. At block **384** K(session) is transmitted to the data module **170** (FIG. 2). Data is decrypted at block **386** and provided to a client at block **388**.

At block **370**, security policy variables from each Header Rx and Header Tx are compared. If a match is found at block **400**, the process ends at block **402**. If the results do not match operation proceeds to block **404**. One option is to report to the transmitter **10** at block **406** and this process ends at block **408**. Alternatively, a mismatch can be determined at block **404** to end the session. Indications of a discrepancy are provided from the blocks **380** and **404**. At block **412**, a message is sent within the security server **30** which instructs security server **30** not to send K(session) to the receiver **20**. This process ends at block **414**. At block **420**, reaction codes are accessed in response to a disagreement as detected at block **380** or block **404**. At block **422**, reaction codes are decrypted and at block **424**, reaction steps are performed. The reaction routine is described below.

The OLE protocols enable the addition of authentication requirements to be added into the secure header. Common authentication variables include hardware ID, telephone number, e-mail address, IP address, personnel/client ID, time of day, security clearance level, location, and many others.

FIG. 8 is a chart **500** illustrating exemplary additional parameters that may be included in authentication variables.

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The chart **500** includes a first column **502** including variables. Each variable is listed in a row **506**. Column **504** is a description of the corresponding variable in the same row **506**. The chart below the text "variables can be defined" is shown in FIG. 12.

The security server **30** preferably comprises one of a number of hardware architectures to make the security server "hack-proof." "Hack-proof" is a term describing a system that is more secure than comparable systems for comparable applications. "Hack-proof" is not a literal performance specification.

FIG. 9 is an illustration of a software structure suitable for use to respond to a failed authentication at either block **380** or **400** of FIG. 7. This method is referred to as Protect-Detect-React. A transmitted data structure **520** includes a standard data block **524**. The standard data block includes encryption key KTx **526**. The fields provided for encryption include an access list **530**, key K(session1) **532**, and protected software **534**. The transmitted data structure **520** also includes a reaction data block **544**. The standard data block **524** includes encryption key KTx **526**. The fields provided for encryption include a reaction code **550**, key K(session2) **552**, and a dummy set of software and/or data **554**. If authentication fails, the reaction data block **544** is released. A number of different forms of reaction code could be issued. For example, reaction code could launch spyware which is transmitted in order to track the unauthenticated location.

FIG. 10 is a block diagram of the architecture of the security server **30**. The system of the security server **30** contains a plurality of functions built as daemons. A daemon is a program that runs continuously in the background and is ready to perform an operation in response to a service request. A register **602** allows users or administrators to register keys as well as serial numbers, passwords, time requirements, user groups, and other authentication variables which may be loaded into the relational database **162**. An authentication daemon **608** enables terminal source system computers to authenticate parties and transfer session encryption keys and TRANSEC Code variables. The authentication daemon **608** comprises an AES crypto daemon **610** and a TRANSEC Code daemon **612**. The daemons control movement of keys to the key database **162**. A detect daemon **620** provides lookup database queries and provides detection of failed authentication attempts at a detect database **624**. A react daemon **630** provides automatic actions in the case of authentication failure or failure to match security policy. A detected intrusion or failed authentication attempt, as signaled by the detect daemon **620**, accesses a react database **634**.

Preset reaction policies are illustrated in a row **640**. Reaction policies include a file suspend react **642** and a key suspend react **644**. An e-mail react **646** may be used to notify a system administrator of an authentication failure. A suspend client reaction policy **648** can suspend communication with a client that is not authenticated. A reaction code n **650** can specify particular actions to take in response to the react daemon **630**. N is an integer corresponding to a selected action.

The "Protect, Detect, and React" (PDR) method comprises additional capabilities. The OLE system inserts an active authentication server, the security server **30**, into the key management process. Protection is provided by the cryptographic encapsulation if the data and authentication vary. A number of additional protection elements are used

during the transmitter encryption process. These include additional cryptographic treatment, cover, and/or randomization of data.

Detection is achieved when a variable is missing, incomplete, or discrepant from a correct receiver's identity. An incorrect receiver's identity is one that is not on the Access Control List (FIG. 2) or that does not match a message Security Code. An unauthorized party may attempt to ping the security server 30 in an attempt to learn keys, codes, or algorithms. The unauthorized party may be trying to use a stolen computer or smartphone. The security server 30 will respond to this fault because the headers are uniquely encrypted communications bundles that are impossible to generate without every item in place at a first time.

Reaction comprises launching of an active countermeasure from the security server 30. The active countermeasure is in the security server 30 database system or in the receiver's processor software. The security server 30 will, when the option is selected, notify administrators of faults based on a security policy comprising variables included in the header. The OLE system utilizes the requester's individual secret key. In the case of a failure to authenticate, only one key needs to be revoked. However, other members in an OLE group will remain valid.

FIG. 11 is a block diagram of an embodiment of the security server 30 particularly suited for high-volume applications. The CASS server system can be scaled to accommodate a very high volume of users, for example volumes of users on such websites as www.Google.com. Connectivity is provided via common techniques such as an IP network, phone system network, or LAN network. In FIG. 11, a military satellite communications network (MILSATCOM NETWORK) 600 is coupled to a network 604 by the Internet 610. FIG. 11 is an example of a distributed or very large security server 30. "Very large" could mean having the capability of handling millions of interchanges per day.

FIG. 12 is a chart 700 illustrating assignment of a client to a group in object level encryption. In embodiments comprising the arrangement of FIG. 12, a group of clients is authenticated as a single client. Grouping keys are produced by creating a group public ID. Names of individual clients are listed in column 702. In column 704, individual clients are identified by e-mail address. Columns 706 and 708 identify first and second groups and define the group to which a client in column 704 is assigned. The relational database 162 (FIG. 2) may use MySQL for Oracle SQL, for example. Group associations are used by the OLE protocol to provide access to groups such as those identified in columns 706 and 708 and to the clients respectively assigned to each group.

A spreadsheet representation of a database can be built into a larger SQL database and used to authenticate or deny Headers based on meeting group accept/reject criteria. Further attributes are selected to define the Security Policy of this embodiment. A column 710, entitled Group 1, lists levels of security clearance each defining one group. Classification levels include unclassified or none, secret, and top secret. Column 712, entitled Group 2, lists clients by organization. A column 714 lists security clearance level of each client. In the present illustration, the attribute is classification level.

In the present embodiment, further attributes that have been selected include password in column 716, biometric ID in column 718, and military organization status in column 720.

Because the data above are included in the relational database 162 (FIG. 1), public and private identity variables

and group associations are effectively cross referenced along with group associations. The key database combined with the OLE authentication protocol can then be used to allow/deny access to encrypted data based on the Group Association(s). One individual or hardware terminal may be easily removed from a Group by making authorized changes to the database.

CASS provides authentication, session key transfer, and key registration. CASS is a database using an OLE protocol and provides normal database functions. Normal database functions include add/delete authorization, track transactions, and implement policy. The reaction methods are implemented with scripts executing policy. These methods include denial of authorization, revocation of keys, halting specific software access, sending e-mail to administrative personnel, and sending a "self-destruct" signal to a Client Wrapper Module (FIGS. 15 and 16).

FIG. 13 is a block diagram illustrating an embodiment in which access to a unique data package can be controlled even after the package is delivered to the receiver 20. In this embodiment, a Data ID number 760 is added into the data structure 130 for inclusion into the Header Tx 140 as a Security Policy Variable. This enables implementation of control of individual data packages directly from the transmitter 10 or by a trusted administrator having access to the data structure 130. The Data ID number is stored by the transmitter 10 for later use. Once a data package is determined to be deemed to be revoked, the transmitter 10 can send an OLE cryptographically secure message to CASS with the Data ID number 760 and a code to revoke the message. CASS can then store this data control "command" and reject authentication anytime that individual data package is requested to be authenticated.

Operation proceeds as in FIG. 2. However, the object level encryption protocol is modified to include the additional data ID number 760. The Data ID number 760 is an additional parameter that must be looked up. Once the Data ID number 760 is looked up, it is compared to a CASS Message List to determine if the particular message has been commanded to be revoked. If not revoked, the key K(session) is sent as in FIG. 2. If the authorization for the message has been revoked, then non-authentication is indicated. The key is not sent. A reaction routine may be initiated.

The OLE process/protocol is modified and an additional communication link step is provided between the transmitter 10 and the security server 30. This additional operation is shown by arrow 764 in FIG. 13. The authentication comparison will determine if the message is still "valid" based on the inclusion of the Data ID number. The Data ID number can be added at any time after the initial data structure 130 was created. The Data ID can be used for security server 30 notification of a request for decryption of secure data. If the Data ID number has not been revoked and all other OLE authentication steps were determined to be acceptable, the session key K(session) is authorized and sent using the standard OLE process.

Control of the data can be augmented by using a client hardware/software application design that only acts as a "viewer" to the receiver client and does not allow the decrypted data to be stored after decryption. In this application of OLE, the receiver client only is able to display the data; when the application or file is closed it returns to its OLE encrypted state.

FIG. 14 is a flow chart illustrating an executable software program in which the Protect, Detect, and React (PDR) system is implemented. The OLE technology can be used to develop a PDR system comprised of an encrypted data

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package and an associated authentication/delivery system. The embodiments above relate to an apparatus, method, and non-transitory programmed medium to protect data with an embedded Access Control List for one or more users. Additionally, Detection and Reaction Criteria are specified.

The embodiment of FIG. 14 provides implementation of the PDR system in an executable software program. The executable program may be run within the transmitter 10.

The program begins at block 800 in which source code is used to compile self-extracting software binary code with applicable supporting data and libraries. At block 802, data identifier number, e.g., the Data ID number 760, is developed and delivered to the data structure 130. At block 804, encryption is performed to encrypt the data, nominally the ACL, session key, and security policy as described with respect to FIG. 2. The public ID, Tx ID is added. The Data ID number 760 is encrypted and added into the Header Tx 140 at block 806.

At block 808, the self-extracting software binary code with encrypted data and entire Header Tx are bound in an appropriate package to be executed on the receiver 20's computing platform. (Example: *.exe file for DOS/Windows operating systems, launch with double-click, etc.). When validation succeeds, the OLE protected communication method notifies the security server 30 and the receiver 20 at block 810.

At block 812 variables can be sent to the security server 30 to be stored by CASS for future authentication purposes. The variables may include TxID, Data ID number, authorized clients (Access Control List), authorized processors (Processor ID List), applicable security policy, and reaction policies to follow if authentication fails. At block 814 the resulting file (data structure) is then stored and/or transmitted for any number of future uses by receivers.

FIG. 15 is a block diagram of executables that are created and performed in the process of FIG. 14. A compiler executable 840 comprises compiled object code along with supporting data and libraries. This module performs encryption and leads to production of encrypted data in an encryption executable 844. The OLE protocol is performed. Encrypted data is sent via PDR wrapping to data extraction executable 848. The data extraction executable 848 includes a self-extracting binary code module 850 and contains encrypted data 852 including the Data ID number. The encrypted data executable 844 is also followed by an encrypted data notification 854 to the security server 30.

FIG. 16 is a chart illustrating an exemplary client wrapper module file structure 870. The file structure 870 includes an extractor executable 872, and an OLE executable 874. OLE plaintext header 876 is preferably provided in clear ASCII. An OLE encrypted package file 880 includes data and Header-TX.

FIG. 17 is a chart 900 illustrating exemplary object level encryption protection variables. Column 902 lists names of protection variables that may be used in a security policy. Examples include transmitter IP address, data title, receiver IP address and other identifiers with respect to the transmitter, receiver, and the data itself. Column 904 specifies the Header in which the respective value is included. Specification of plaintext or encryption is listed in column 906. Column 908 identifies the encryption key associated with each variable. A protection value associated with each variable is listed in column 910. The protection value relates to the data entity which is being protected.

FIG. 18 is a diagram illustrating detection of an authentication failure in the software-operated embodiment. Authentication is performed at an authentication module

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940. Failure of authentication is reported to the security server 30 and to an OLFW Header 944. The failed authentication is reported by the Header 944 to the server to instruct the receiver 20 to deny execution of decryption. At the same time, the security server 30 is notified that the denial of execution has been issued.

FIGS. 19A-19B is a chart 1000 illustrating exemplary object level encryption detection variables. OLE detection variables are used to define forms of a Security and Authentication Policy. Names of variables that may be used in a security policy include transmitter IP address, data title, receiver IP address and other identifiers with respect to the transmitter, receiver, and the data itself.

Column 1002 lists categories of detection. These categories may include transmitter errors 1006, administrator errors 1008, receiver errors 1010, processor errors 1012, access time of day errors 1014, data integrity errors 1016, and header integrity errors 1018. Note that an endless number of different reactions can be developed.

Column 1030 lists the detection variable or variables within each category of errors. Column 1040 specifies the header in which the respective value is included. In column 1060, different types of data within each detection category that are used for comparison in authentication are listed. For example, within detection category 1006, transmission errors, parameters for which a match is needed in authentication include Data ID number, transmitter password, and transmitter biometric. Column 1070 lists the location of each identified variable. Column 1080 lists an error condition corresponding to each instance of a failure to match within the authentication process.

Reaction by the CASS server takes place substantially simultaneously with failed detection. A series of automated software scripts can be written into CASS and/or the secured OLE Header Tx and/or into the receiver client software that are then initiated by CASS. CASS can directly run its own software reaction code, as seen in FIGS. 20A-20B below. CASS can also signal the receiver 10 with OLE secured Reaction Codes to initiate software embedded into the CWM 870 (FIG. 16) and/or the OLE Header-Tx. The Reaction Codes can also initiate software directly in client operating system/application software.

FIGS. 20A-20B is a chart 1100 illustrating exemplary "Reaction Variables" and different degrees of response that may be selected in response to each form of detection of failure of authentication. In all cases, the session key K(session) is not provided and access to data is denied. In FIG. 20 the detection variable examples are the same as those of FIGS. 19A-19B, and the same reference numerals are used to denote the same columns. A level of reaction to each error condition can be defined. Column 1110 describes "mild" reactions to failure of authorization. Column 1120 describes "mid" reactions to failure of authorization, and column 1130 describes "severe" reactions to each failure of authorization.

For example, one receiver error in the category 1010 and column 1060 denotes a detection of a discrepancy in receiver passwords. In column 1080 the error condition is identified as a receiver not having access to the data it is seeking. Different levels of reaction are listed in columns 1110, 1120, and 1130. The "mild" reaction in column 1110 is to notify an administrator or the receiver 10 seeking access. A "mid" reaction is to allow three failed attempts and then halt the receiver's account. A "severe" reaction as seen in column 1130 is to freeze the receiver's account after one incorrect password entry.

Column 1102 lists categories of detection. These categories may include transmitter errors 1106, administrator

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errors **1108**, receiver errors **1110**, processor errors **1112**, access time of day errors **1114**, data integrity errors **1116**, and header integrity errors **1118**. Note that an endless number of different reactions can be developed, these are simply a single set. Column **902** lists names of variables that may be used in a security policy. Examples include transmitter IP address, data title, receiver IP address, and other identifiers with respect to the transmitter, receiver, and the data itself. Column **904** specifies the header in which the respective value is included. Specification of plaintext or encryption is listed in column **906**. Column **908** identifies the encryption key associated with each variable. A protection value associated with each variable is listed in column **910**. The protection value relates to the data entity which is being protected in connection with the use of the protection value.

The OLE technology can be implemented into a number of different system types, providing encrypted security for different classes of data in respective applications. Examples of system types include computer, cell phone, or modem. Examples of data and systems include, but are not limited to, encrypted data streams (data in motion), encrypted files (data at rest), secure microprocessors in which the software is encrypted uniquely for each microprocessor and decrypted only inside the microprocessor, encrypted e-mail messages and/or their attachments, encrypted relational database fields, encrypted ad-hoc wireless networks, encryption co-processor chips, encrypted text messages and/or their attachments, encrypted video files, and encrypted web browsers.

An OLE implementation in the CASS authentication system provides many security capabilities. Encrypted data and software in motion can be provided to internal portions of the hardware processor.

An individualized encryption key can be provided for each hardware unit. A security policy may be defined by providing additional authentication variables for each hardware unit. These include processor, computer, phone or modem ID, time of day, personal identity of a user, and the physical location of a user.

Individualized encryption keys may be provided for all users. Individualization may be provided by specifying additional authentication variables for each hardware unit, such as processor, terminal or modem ID, controlled time of usage, controlled hardware usage, or controlled location allowed for usage.

The OLE system has the capability to secure voice, video, or data such as text messages, e-mails, digital files of any type including, communication streams in which data becomes a session key that is used to set up a Virtual Private Network (VPN) session, software and/or firmware into hardware processing platforms, and attachments to any message type.

Capability is provided to group personnel or processors into working groups, tied together with a CASS database management. Removal and addition of personnel/processors is achieved without re-encrypting and distributing data.

Grouped data can be provided. Where the data is secured once for the selected ACL group(s) into a single file or multi-cast, it can be copied to any group member. Each accepted member of the group can be allowed access using the individual client(s) to group association(s) to accept/reject access to the data through control of the session key.

The system may include Detect-Protect-React capability to respond to encryption tampering events. Reactions may include removal of hardware from access to data, removal of personnel (individual clients) from access to data, deletion

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of encrypted data, providing decryption of a dummy payload, allowing the adversary to think they have cracked the system, and tracking of adversaries with spyware.

Revoking access to secured data can be triggered by notifying CASS that an individual message is to be revoked even after it has been sent.

Security policy is defined by preselected variables embedded into encrypted data as parameters used in authentication. Additional variables can be added later. The CASS system can store standard database reports of authentications, detection of errors, detection of unauthorized access attempts, and automatic policy actions.

I claim:

1. A method for providing key exchange in a communications network using a symmetrical encryption algorithm key, comprising:

- a. providing a transmitter having a selected transmission key KTx;
- b. generating at the transmitter a random symmetric session key K(session);
- c. encrypting a data object with the session key K(session) to provide protected data;
- d. selecting at the transmitter a desired RxID corresponding to an authorized receiver;
- e. establishing RxID as a public identity variable;
- f. selecting message security policy variables;
- g. providing a data structure comprising the desired RxID, the message security policy variables, and session key;
- h. encrypting the data structure with the transmitter key to provide an encrypted data structure;
- i. adding to the encrypted data structure a public ID of the transmitter in plaintext to provide a transmission package including a Header-Tx comprising the desired RxID;
- j. sending the transmission package to a receiver;
- k. providing a data structure Header-Rx including an actual RxID identifying the receiver;
- l. encrypting Header-Rx with a receiver key KRx;
- m. storing KRx and KTx at a security server;
- n. sending Header-Tx and Header-Rx to the security server;
- o. selecting KTx as stored at the security server and using KTx to decrypt Header-Tx and accessing desired RxID;
- p. comparing desired RxID to actual RxID;
- q. registering successful authentication if the desired RxID and the actual RxID match;
- r. in response to successful authentication sending session key K(session) to the receiver; and
- s. decrypting the protected data at the receiver using K(session).

2. A method for secure network communication comprising:

- providing a first encryption key KTx and a second encryption key KRx for a transmitter and a receiver respectively;
- storing a copy of the first encryption key KTx and a copy of the second encryption key KRx in an encrypted database in a server, placing each copy in a location accessible by an address corresponding to an identity of a respective transmitter or receiver;
- forming in the transmitter a data structure comprising a header in which a session encryption key K (session), receiver ID, and security information are encrypted by KTx and a secured data object in which data is encrypted with K (session);
- forming a transmitted packet comprising the header and the secure data object;

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transmitting the transmitted packet to a receiver;
 forming in the receiver a receiver transmitter header and
 a received secure object data;
 forming in the receiver a receiver header comprising a
 header in which a session encryption key K (session),
 receiver ID, and security information are encrypted by
 KRx and a receiver secured data object in which data
 is encrypted with K (session);
 comparing the receiver header and the received trans-
 mitter header and producing a signal indicative of authen-
 tication or failure of authentication, failure comprising
 determining that a variable is missing, incomplete, or
 discrepant from a correct receiver's identity; and
 in response to a signal indicative of authentication,
 accessing the receiver key KRx from the encrypted
 hardware memory, to permit use of K (session) to
 decrypt the receiver secure data object.
 3. A method according to claim 2 wherein the security
 information comprises message security variables and reac-
 tion response codes and further comprising the step of
 accessing a reaction response code in response to a signal
 indicative of failure of authentication.
 4. A method according to claim 3 wherein the step of
 providing reaction response codes comprises providing
 selectable commands each corresponding to a level of
 response to the failure of authentication.
 5. A method according to claim 3 wherein the step of
 providing reaction response codes comprises providing
 commands indicative of respective selectable actions.
 6. A method according to claim 4 wherein the step of
 providing reaction response codes further comprises provid-
 ing commands indicative of respective selectable actions
 and wherein one said action comprises transmitting data
 other than the secured data to a transmitter providing incor-
 rect authentication information.
 7. A method according to claim 6 comprising assigning a
 group of clients for authentication as a single entity.
 8. A method for secure network communications utilizing
 object level encryption comprising:
 encrypting data at a transmitter with a session encryption
 key to provide secure object data;
 providing a transmitter header comprising a combination
 of the session encryption key, identity of a target

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receiver, and message security variables all encrypted
 with a transmitter encryption key;
 forming a packet comprising the header and the secure
 object data;
 transmitting the packet to the target receiver;
 creating at the receiver a received transmitter header
 indicative of the transmitted header and a received
 transmitter secure object data;
 providing at the target receiver a receiver heading com-
 prising receiver identity and receiver security variables
 encrypted with a receiver encryption key;
 verifying correspondence of security variables in said
 received transmitter heading and said receiver trans-
 mitter heading;
 in response to verification, utilizing the receiver identity
 provided in the transmitter header to access a stored
 receiver encryption key; and
 providing the receiver encryption key for use by the
 receiver for decrypting the received secure data.
 9. A method according to claim 8 wherein the step of
 providing the receiver encryption key for decrypting
 received secure data comprises accessing the receiver secu-
 rity key and utilizing the receiver encryption key to decrypt
 a data structure comprising the session security key and
 security variables, and decrypting the received transmitter
 secure object data.
 10. A method according to claim 9 further comprising
 providing reaction codes and accessing a reaction code in
 response to the failure of authentication.
 11. A method according to claim 10 further comprising
 controlling a data package after the data package has been
 delivered to a receiver by assigning a data ID number to the
 transmitter header, associating a code with the data ID
 number, sending a command from the transmitter to the
 server to revoke the message and storing the command at the
 server to command failure of authentication in response to a
 request to authenticate a data package corresponding to the
 data ID number.
 12. A method according to claim 11 wherein security
 codes comprise selective variables indicative of values and
 names of parameters associated with the transmitter.

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